

# Summary of Methods

# Various Methods

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

*estimate  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesA*

# Various Methods

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

*estimate  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesA*

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

*estimate  $\delta_i$ ,  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesB*

# Various Methods

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

*estimate  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesA*

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

*estimate  $\delta_i$ ,  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesB*

*estimate  $\delta_i$ ,  $\sigma_a^2$  and  $\sigma_e^2$*

*BayesC*

# Various Methods

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

*estimate  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesA*

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

*estimate  $\delta_i$ ,  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesB*

*estimate  $\delta_i$ ,  $\sigma_a^2$  and  $\sigma_e^2$*

*BayesC*

*estimate  $\pi$ ,  $\delta_i$ ,  $\sigma_a^2$  and  $\sigma_e^2$*

*BayesCPi*

# Various Methods

Markers in Model		
Marker Effects	All ( $\pi=0$ )	Fraction ( $1-\pi$ )
Random - Individual Variance (Normal)	“Bayes A” (B0)	“Bayes B”
Random - Constant Var (when in model)	Bayes C (C0)=“BLUP”	Bayes C
Random – Constant Var (when in model)		Fraction ( $1-\pi$ ) estimated from data=Bayes C $\pi$
Categorical Variants (threshold models)		
Other Variants (estimate scale, heavy tails)		

Practical experience and results with  
various methods using real and  
simulated data

# Pi influences convergence

Correlations  $\pi=0.95$

	ModelFreq10	ModelFreq20	ModelFreq40	ModelFreq500
ModelFreq10	1	0.8869	0.9053	0.9223
ModelFreq20	0.8869	1	0.9425	0.9593
ModelFreq40	0.9053	0.9425	1	0.9786
ModelFreq500	0.9223	0.9593	0.9786	1

Correlations  $\pi=0.998$

	ModelFreq10	ModelFreq20	ModelFreq40
ModelFreq10	1	0.9903	0.9927
ModelFreq20	0.9903	1	0.9961
ModelFreq40	0.9927	0.9961	1



# *Genomic Selection*

## Shrinkage of marker effects

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ANIMAL  
SCIENCE

150  
1858 2008  
IOWA STATE  
UNIVERSITY

Animal  
Breeding & Genetics



# Simplest Approach

No selection of loci

$$y = Xb + \sum M_i a_i + e$$

*constant  $\sigma_a^2$  and  $\sigma_e^2$*

*"BLUP"*

Assume  
normally distributed  
- allelic effects  
- residual effects

# Mixed Model Equations

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{M}\mathbf{a} + \mathbf{e}$$

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}'\mathbf{M} \\ \mathbf{M}'\mathbf{X} & \mathbf{M}'\mathbf{M} + \lambda\mathbf{I} \end{bmatrix} \begin{bmatrix} \hat{\mathbf{b}} \\ \hat{\mathbf{a}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{M}'\mathbf{y} \end{bmatrix}$$

$\lambda = \frac{\sigma_e^2}{\sigma_a^2}$  is an unknown that can be estimated eg REML

These equations have order = number of SNP+1 and are dense

Like Ridge Regression

# Estimated Effects

Marker	Effect	EffectVar	ModelFreq	GeneFreq	GenVar	EffectDelta1	SDDelta1	t-like	shrink
1	-1.638e+00	3.218723e+01	1.0000	0.405	1.292214e+00	-1.63759e+00	5.39318e+00	0.304	0.479
2	1.250e+00	3.218723e+01	1.0000	0.390	7.440695e-01	1.25036e+00	5.36582e+00	0.233	0.479
4	-1.801e+00	3.218723e+01	1.0000	0.560	1.597777e+00	-1.80061e+00	5.43059e+00	0.332	0.493
5	-3.432e+00	3.218723e+01	1.0000	0.200	3.769314e+00	-3.43246e+00	5.43894e+00	0.631	0.343
6	-3.792e-01	3.218723e+01	1.0000	0.839	3.375831e-02	-3.79190e-01	5.43825e+00	0.070	0.306
7	1.335e+00	3.218723e+01	1.0000	0.581	8.573961e-01	1.33485e+00	5.32827e+00	0.251	0.490
8	-3.396e-01	3.218723e+01	1.0000	0.604	5.516143e-02	-3.39610e-01	5.30083e+00	0.064	0.475
9	1.018e+00	3.218723e+01	1.0000	0.391	4.938477e-01	1.01844e+00	5.29647e+00	0.192	0.478
11	-7.014e-01	3.218723e+01	1.0000	0.415	2.388126e-01	-7.01370e-01	5.38394e+00	0.130	0.485
12	2.146e-01	3.218723e+01	1.0000	0.555	2.274302e-02	2.14591e-01	5.27857e+00	0.041	0.497
13	-1.792e+00	3.218723e+01	1.0000	0.474	1.600899e+00	-1.79178e+00	5.41718e+00	0.331	0.500
14	9.295e-01	3.218723e+01	1.0000	0.193	7.690557e-01	9.29526e-01	5.43449e+00	0.171	0.327

$\hat{a}$

$\sigma_a^2$

$2pq$

$$\text{Shrinkage} = \frac{\text{BLUP estimate}}{\text{OLS estimate}}$$

# Equivalent Model (All SNPs)

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

$$\mathbf{y} = \mathbf{X}\mathbf{b} + [\mathbf{I}][\sum \mathbf{M}_i \mathbf{a}_i] + \mathbf{e}, \quad \mathbf{u} = \sum \mathbf{M}_i \mathbf{a}_i$$

$$\text{var}(\sum \mathbf{M}_i \mathbf{a}_i) = \sum \mathbf{M}_i \text{var}(a_i) \mathbf{M}_i' = \sigma_a^2 \sum \mathbf{M}_i \mathbf{M}_i'$$

$$\begin{bmatrix} \mathbf{X}'\mathbf{X} & \mathbf{X}' \\ \mathbf{X} & \mathbf{I} + \lambda \mathbf{G}^{-1} \end{bmatrix} \begin{bmatrix} \hat{\mathbf{b}} \\ \hat{\mathbf{u}} \end{bmatrix} = \begin{bmatrix} \mathbf{X}'\mathbf{y} \\ \mathbf{y} \end{bmatrix}$$

Current method using genomic G instead of pedigree A

$$\mathbf{G} = \sum \mathbf{M}_i \mathbf{M}_i'$$

# Analytical Methods

No selection of loci

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

*constant  $\sigma_a^2$  and  $\sigma_e^2$*

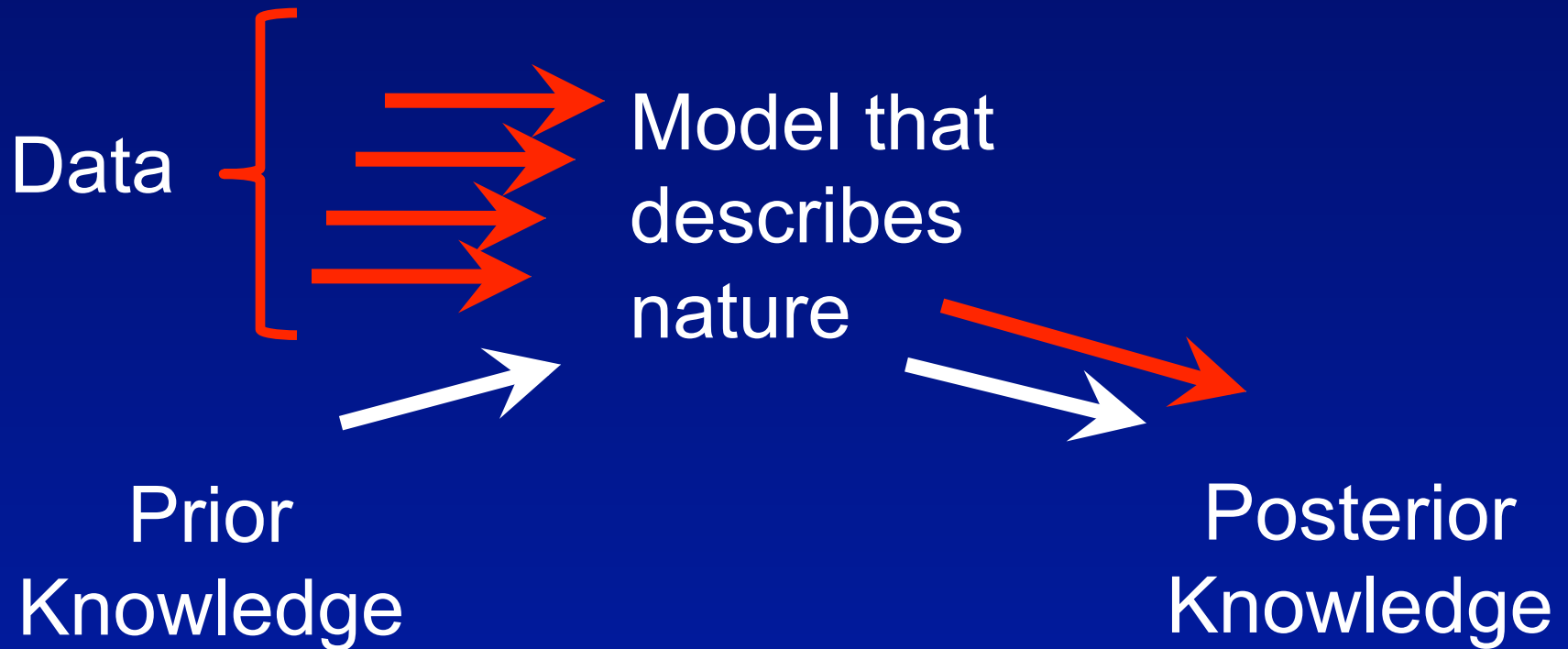
*"BLUP"*

*SNP – specific  $\sigma_{ai}^2$  and  $\sigma_e^2$*

*BayesA*

Need to estimate a variance component for every locus  
Markov Chain Monte Carlo is an efficient method to explore the likelihood surface

# Bayesian Methods



# Markov Chain Monte Carlo

- Sample unknown parameters based on knowledge of the prior
- Quantify the fit (given the data)
- Sample unknown parameters based on joint knowledge of the prior and the previous fit of each parameter
- Repeat this process until convergence





# Bayes A

**Prior**  $(a_i / \sigma_i^2) \sim N(0, \sigma_i^2)$

$$\sigma_i^2 \sim v_a S_{v_a}^2 \chi_{v_a}^{-2} \quad \text{Meuwissen, Hayes \& Goddard (2001)}$$

*so that*  $a_i \sim (\text{iid}) t(0, S_{v_a}^2, v_a)$  Sorensen & Gianola, 2002

*Assume* 
$$\sigma_i^2 = \frac{V_a}{\sum_i 2p_i(1-p_i)} = \frac{V_a}{k2\bar{p}(1-\bar{p})}$$

*so* 
$$S_{v_a}^2 = \frac{(v_a - 2)V_a}{v_a k2\bar{p}(1-\bar{p})} \text{ for } k \text{ SNP}$$

# 8,300 Holstein Bulls w/50k

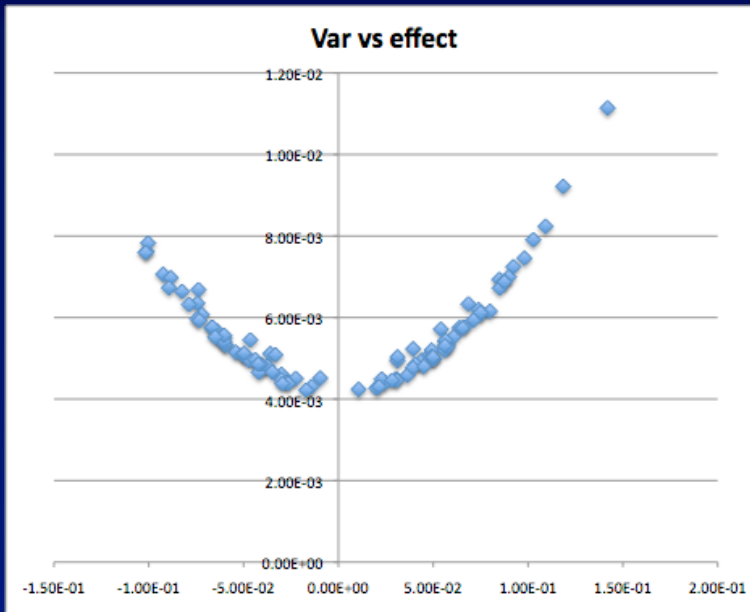
Marker	Effect	EffectVar	ModelFreq	GeneFreq	GenVar	EffectDelta1	SDDelta1	t-like	shrink
1	-1.659e+00	3.931140e+01	1.0000	0.405	1.326415e+00	-1.65912e+00	5.84901e+00	0.284	0.555
2	1.418e+00	3.846712e+01	1.0000	0.390	9.573883e-01	1.41831e+00	5.62114e+00	0.252	0.550
4	-1.794e+00	3.788718e+01	1.0000	0.560	1.586915e+00	-1.79448e+00	5.72054e+00	0.314	0.561
5	-3.952e+00	4.949039e+01	1.0000	0.200	4.997357e+00	-3.95225e+00	7.25751e+00	0.545	0.465
6	-4.507e-01	3.799973e+01	1.0000	0.839	5.474991e-02	-4.50678e-01	5.64675e+00	0.030	0.362
7	1.171e+00	4.145301e+01	1.0000	0.581	6.670957e-01	1.17062e+00	5.58165e+00	0.210	0.579
8	-4.866e-01	3.870845e+01	1.0000	0.604	1.132672e-01	-4.86648e-01	5.54109e+00	0.038	0.548
9	5.559e-01	3.567120e+01	1.0000	0.391	1.471572e-01	5.55940e-01	5.28357e+00	0.105	0.530
11	-2.480e-02	3.785258e+01	1.0000	0.415	2.984811e-04	-2.47957e-02	5.53166e+00	0.004	0.552
12	1.933e-01	3.710394e+01	1.0000	0.555	1.846104e-02	1.93337e-01	5.22843e+00	0.037	0.559
13	-1.970e+00	4.230186e+01	1.0000	0.474	1.936189e+00	-1.97050e+00	6.07676e+00	0.324	0.595
14	8.370e-01	3.865098e+01	1.0000	0.193	2.181811e-01	8.37045e-01	5.69654e+00	0.147	0.390

$$\sigma_a^2$$

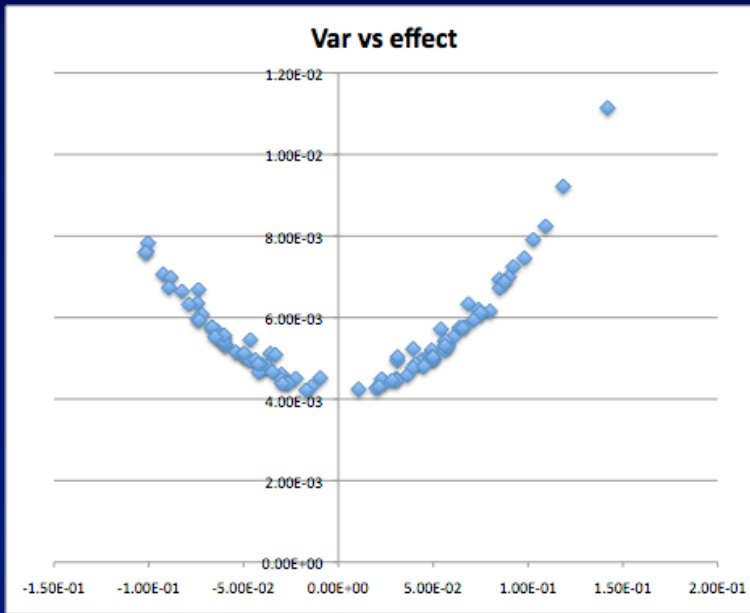
$$\text{Shrinkage} = \frac{\text{BLUP estimate}}{\text{OLS estimate}}$$

Bayes A

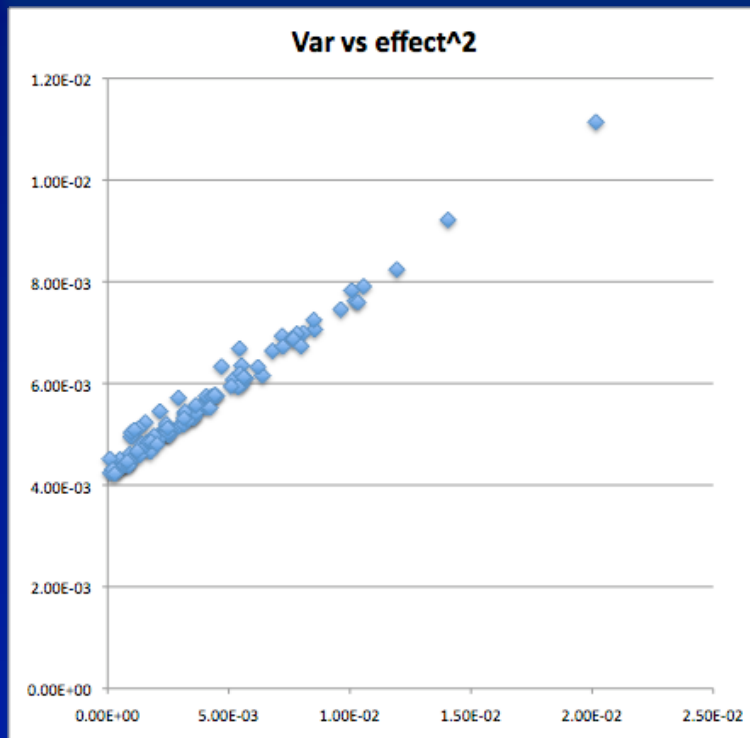
Bayes A  $df=4$



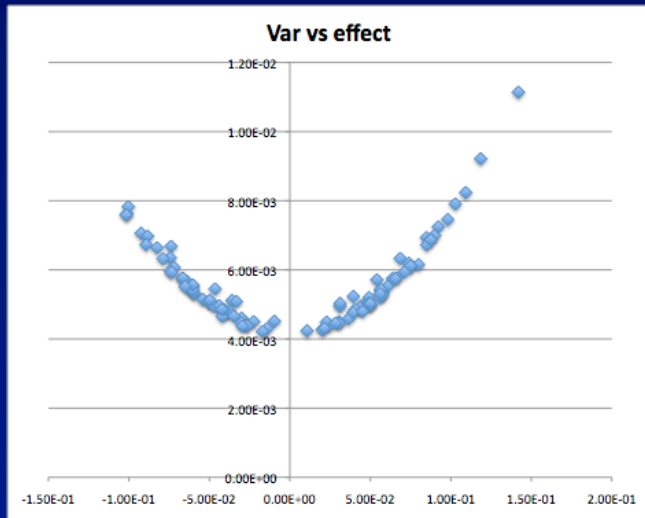
Bayes A df=4



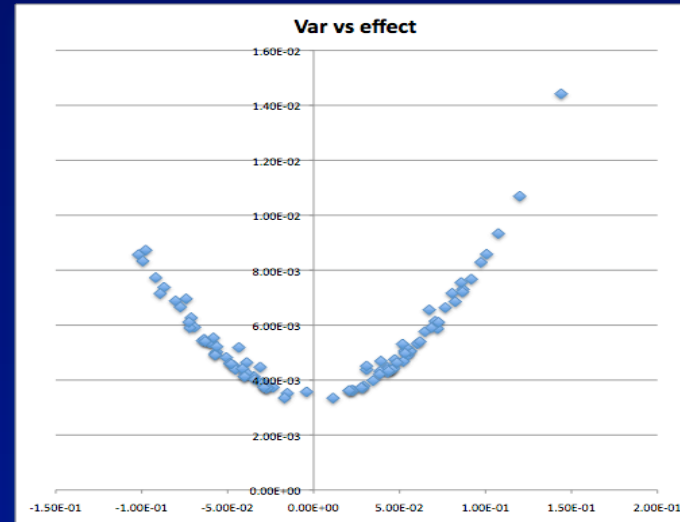
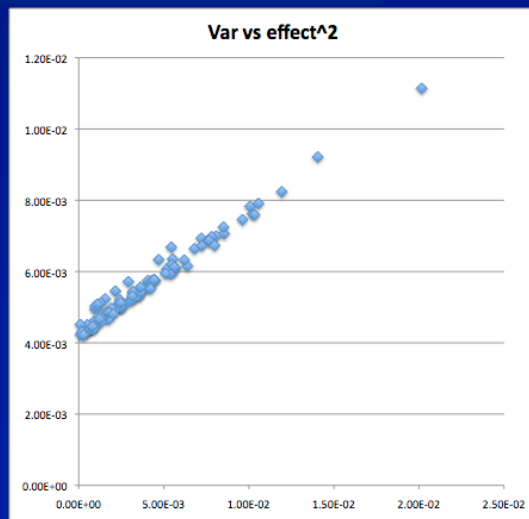
Bayes A df=4



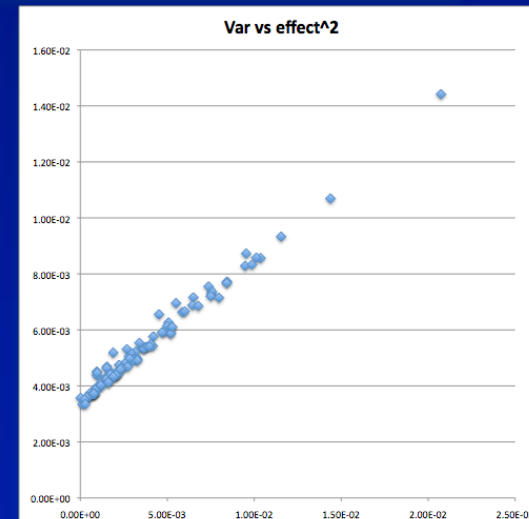
# Bayes A Effect vs Var(effect)



df=4



df=3



# Analytical Methods

- Two major classes of mixed models

No selection of loci

$$\mathbf{y} = \mathbf{Xb} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

constant  $\sigma_a^2$  and  $\sigma_e^2$

"BLUP"

estimate  $\sigma_{ai}^2$  and  $\sigma_e^2$

BayesA

Mixture Models (model selection)

$$\mathbf{y} = \mathbf{Xb} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

estimate  $\delta_i$ ,  $\sigma_{ai}^2$  and  $\sigma_e^2$

BayesB (known  $\pi$ )

$\pi$  = fraction loci with no effect

# Mixture Models

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

nchains  
kSNPs

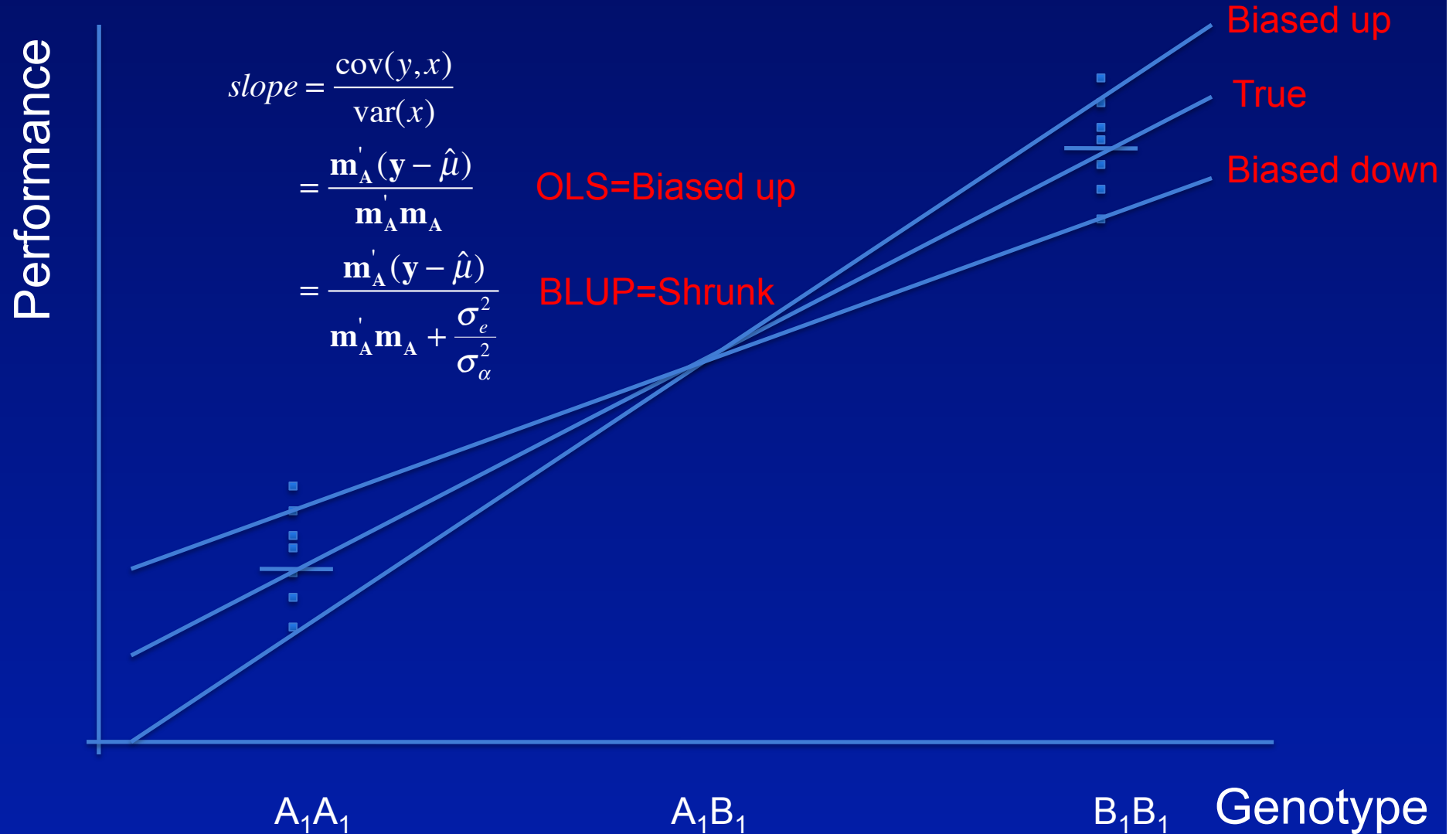
$$\delta_i = 1 \quad L_1 = L(\mathbf{X}\mathbf{b} + \mathbf{M}_i \mathbf{a}_i + \mathbf{e}) \text{ given } (1 - \pi)$$

$$\delta_i = 0 \quad L_0 = L(\mathbf{X}\mathbf{b} + \mathbf{e}) \text{ given } \pi$$

$$\text{Compute } p = \frac{L_1}{L_1 + L_0} \quad \text{Draw } u = \text{uniform}[0,1]$$

$u < p$  then locus  $i$  is in the model this chain

# Shrinkage Estimation





# Bayesian Estimation

- Extent of shrinkage that results by treating effects as random (due to uncertainty) depends upon the relative magnitude of  $\mathbf{m}'_A \mathbf{m}_A$  and  $\sigma_e^2 / \sigma_\alpha^2$ 
  - Less shrinkage than animal models
- Additional shrinkage in mixture models due to model frequency

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

*posterior mean slope = mean(fitted slope)  $\times$  Pr( $\delta_i = 1$ )*

# Bayes A vs B marker effects

BayesB

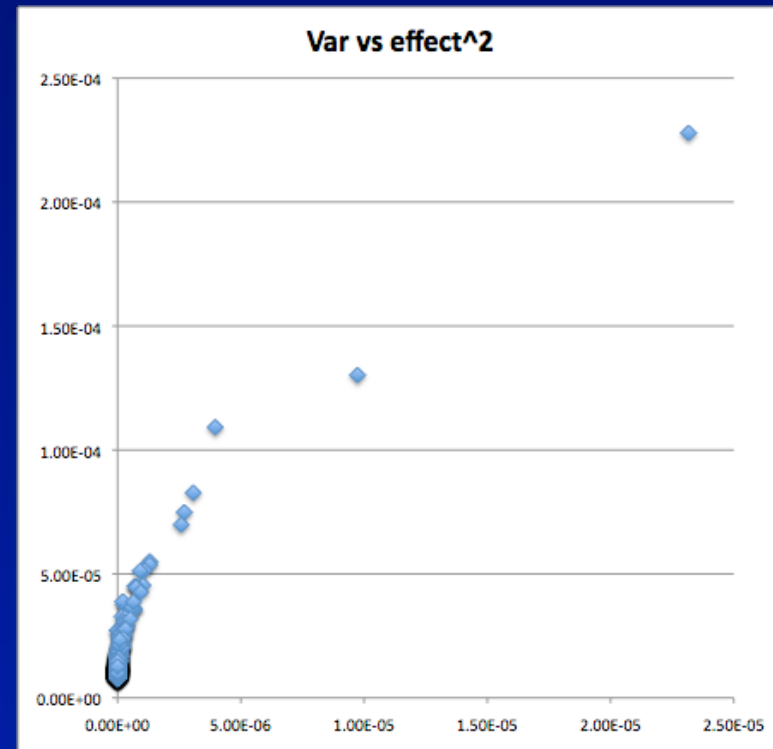
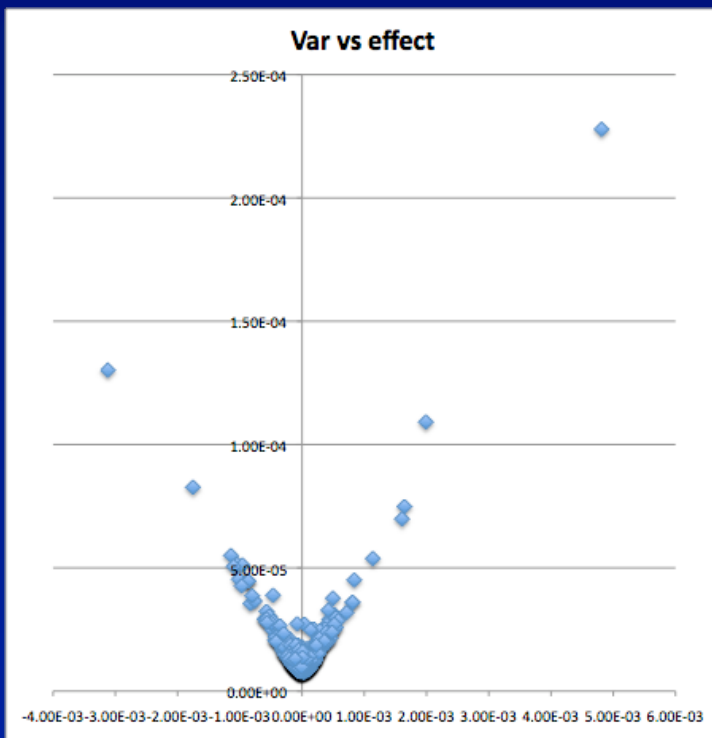
Marker	Effect	EffectVar	ModelFreq	GeneFreq	GenVar	EffectDelta1	SDDelta1	t-like	shrink
1	-9.777e-01	3.596898e+01	0.1017	0.405	4.606214e-01	-9.61605e+00	1.53689e+01	0.626	0.907
2	4.965e-01	2.593115e+01	0.0788	0.390	1.173018e-01	6.29821e+00	1.20837e+01	0.521	0.901
4	-9.941e-01	3.696611e+01	0.1020	0.560	4.870099e-01	-9.74370e+00	1.60608e+01	0.607	0.915
5	-4.239e+00	9.636366e+01	0.2121	0.200	5.748372e+00	-1.99874e+01	2.40972e+01	0.829	0.869
6	-2.223e-01	2.729070e+01	0.0823	0.839	1.331562e-02	-2.70139e+00	1.33251e+01	0.200	0.802
7	1.113e-01	2.111116e+01	0.0681	0.581	6.035581e-03	1.63446e+00	1.10551e+01	0.143	0.900
8	-2.598e-01	2.267326e+01	0.0704	0.604	3.228674e-02	-3.69196e+00	1.10733e+01	0.333	0.898
9	6.843e-02	2.173070e+01	0.0689	0.391	2.229760e-03	9.92863e-01	1.03528e+01	0.095	0.897
11	-4.227e-02	2.312403e+01	0.0707	0.415	8.674818e-04	-5.97690e-01	1.16347e+01	0.051	0.903
12	2.058e-01	2.195600e+01	0.0669	0.555	2.092082e-02	3.07760e+00	1.03828e+01	0.290	0.908
13	-1.338e+00	4.200431e+01	0.1108	0.474	8.923503e-01	-1.20680e+01	1.70199e+01	0.709	0.920
14	6.115e-01	3.138620e+01	0.0878	0.193	1.164587e-01	6.96319e+00	1.38614e+01	0.502	0.830

BayesA

Marker	Effect	EffectVar	ModelFreq	GeneFreq	GenVar	EffectDelta1	SDDelta1	t-like	shrink
1	-1.659e+00	3.931140e+01	1.0000	0.405	1.326415e+00	-1.65912e+00	5.84901e+00	0.284	0.555
2	1.418e+00	3.846712e+01	1.0000	0.390	9.573883e-01	1.41831e+00	5.62114e+00	0.252	0.550
4	-1.794e+00	3.788718e+01	1.0000	0.560	1.586915e+00	-1.79448e+00	5.72054e+00	0.314	0.561
5	-3.952e+00	4.949039e+01	1.0000	0.200	4.997357e+00	-3.95225e+00	7.25751e+00	0.545	0.465
6	-4.507e-01	3.799973e+01	1.0000	0.839	5.474991e-02	-4.50678e-01	5.64675e+00	0.080	0.362
7	1.171e+00	4.145301e+01	1.0000	0.581	6.670957e-01	1.17062e+00	5.58165e+00	0.210	0.579
8	-4.866e-01	3.870845e+01	1.0000	0.604	1.132672e-01	-4.86648e-01	5.54109e+00	0.088	0.548
9	5.559e-01	3.567120e+01	1.0000	0.391	1.471572e-01	5.55940e-01	5.28357e+00	0.105	0.530
11	-2.480e-02	3.785258e+01	1.0000	0.415	2.984811e-04	-2.47957e-02	5.53166e+00	0.004	0.552
12	1.933e-01	3.710394e+01	1.0000	0.555	1.846104e-02	1.93337e-01	5.22843e+00	0.037	0.559
13	-1.970e+00	4.230186e+01	1.0000	0.474	1.936189e+00	-1.97050e+00	6.07676e+00	0.324	0.595
14	8.370e-01	3.865098e+01	1.0000	0.193	2.181811e-01	8.37045e-01	5.69654e+00	0.147	0.390

# Bayes B Effect vs Var(Effect)

$$df = 4 \quad \pi = 0.99$$



# Analytical Methods

No selection of loci

$$\mathbf{y} = \mathbf{Xb} + \sum \mathbf{M}_i \mathbf{a}_i + \mathbf{e}$$

constant  $\sigma_a^2$  and  $\sigma_e^2$

"BLUP"

estimate  $\sigma_{ai}^2$  and  $\sigma_e^2$

BayesA

Mixture Models (model selection)

$$\mathbf{y} = \mathbf{Xb} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

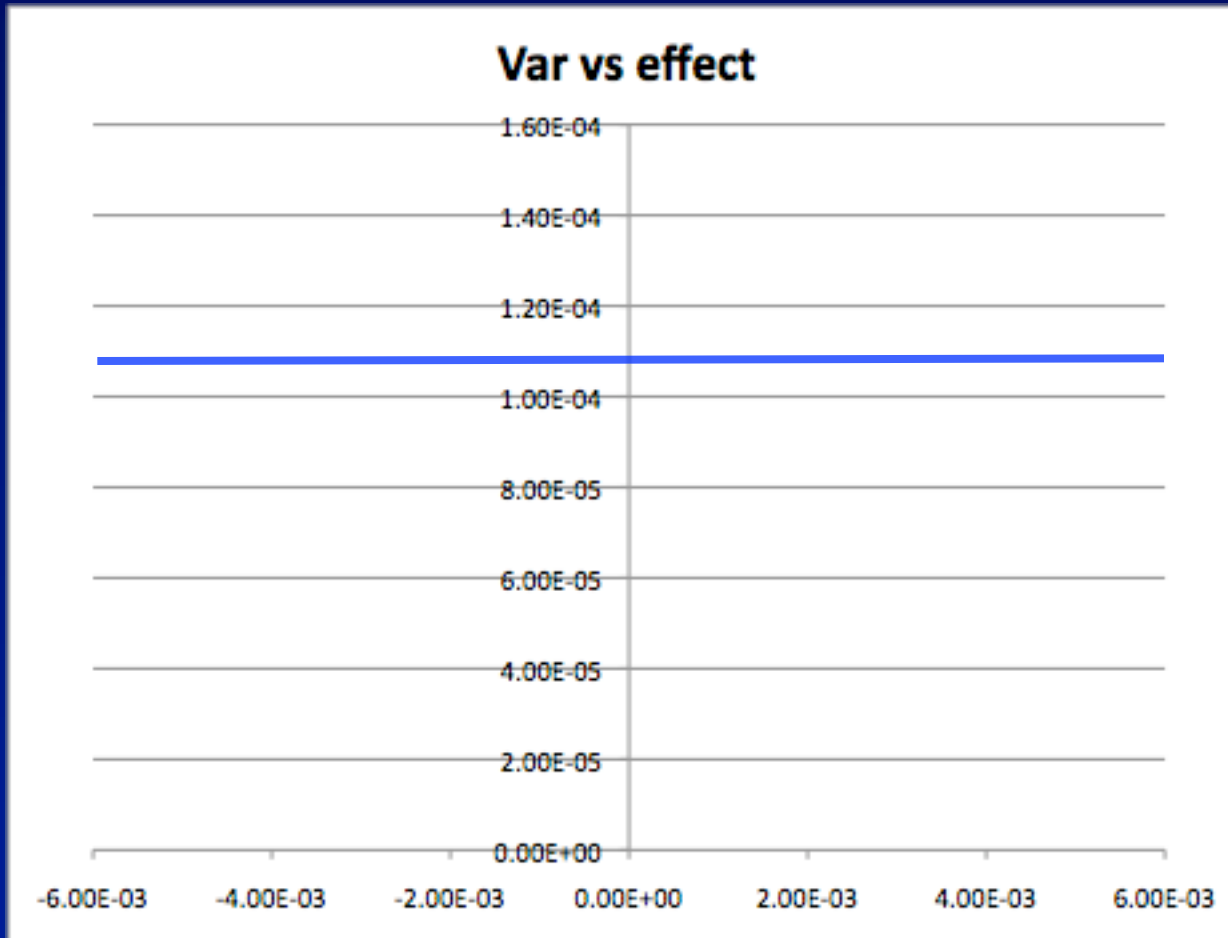
estimate  $\delta_i$ ,  $\sigma_{ai}^2$  and  $\sigma_e^2$

BayesB (known  $\pi$ )

estimate  $\delta_i$ ,  $\sigma_a^2$  and  $\sigma_e^2$

BayesC (known  $\pi$ ) "BLUP" =  $C(0)$

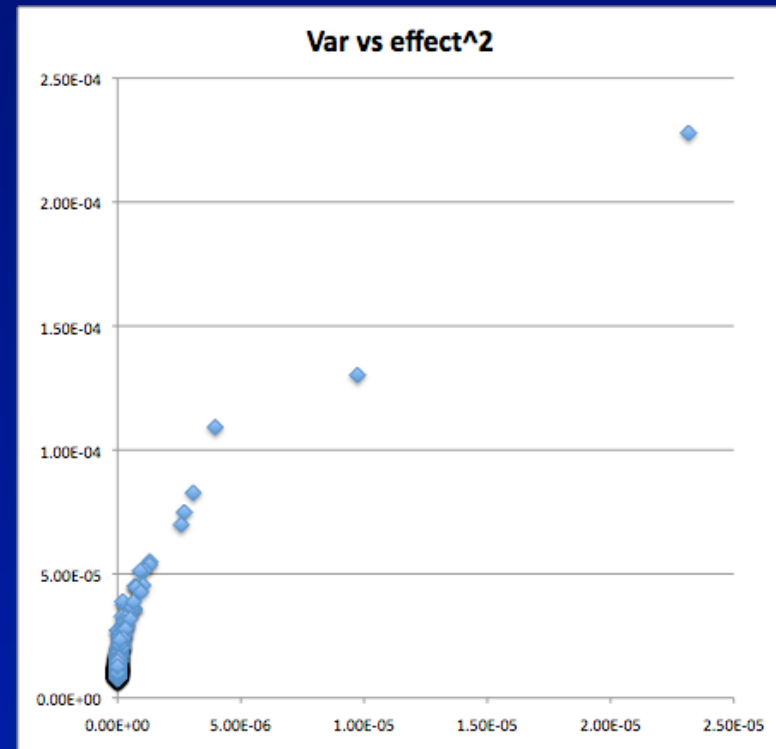
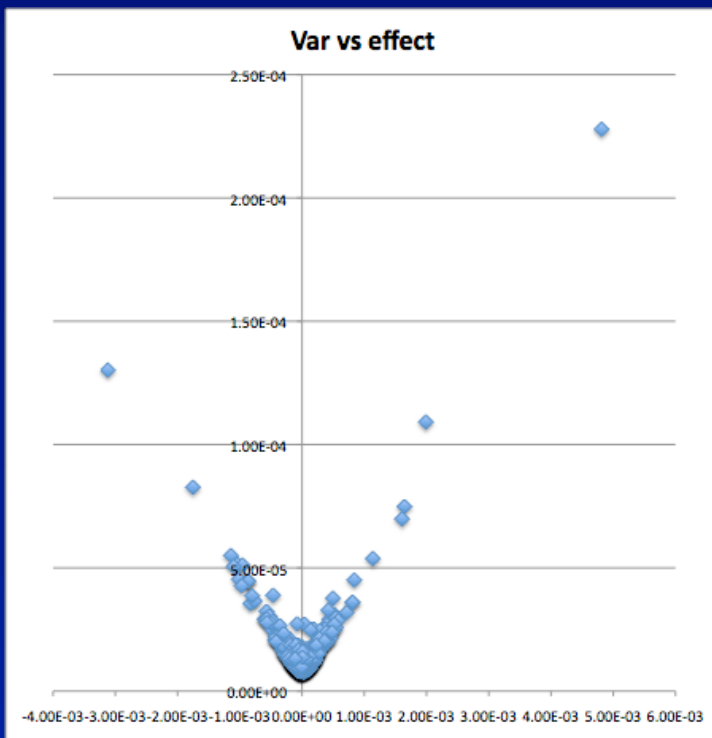
$\pi$  = fraction loci with no effect



Bayes C0

# Bayes C ( $\pi > 0$ ) or Bayes CPi

Like the following



# Bayes C Var(Effect)

	Marker	Effect	EffectVar	ModelFreq	GeneFreq	GenVar	EffectDelta1	SDDelta1	t-like	shrink	
BayesC	1	-1.126e+00	3.354322e+01	0.1067	0.405	6.108835e-01	-1.05549e+01	1.61807e+01	0.652	0.897	
	2	5.088e-01	2.358988e+01	0.0749	0.390	1.232100e-01	6.79312e+00	1.30135e+01	0.522	0.896	
	4	-1.009e+00	3.067300e+01	0.0973	0.560	5.022085e-01	-1.03724e+01	1.67909e+01	0.618	0.903	
	5	-5.030e+00	7.567490e+01	0.2403	0.200	8.093031e+00	-2.09325e+01	2.38519e+01	0.878	0.822	
	6	-2.276e-01	2.641091e+01	0.0838	0.839	1.396912e-02	-2.71491e+00	1.39947e+01	0.194	0.793	
	7	2.364e-01	2.156233e+01	0.0685	0.581	2.720827e-02	3.45256e+00	1.16842e+01	0.295	0.901	
	8	-2.716e-01	2.276660e+01	0.0722	0.604	3.528447e-02	-3.76069e+00	1.25527e+01	0.300	0.895	
	9	6.250e-02	2.025334e+01	0.0644	0.391	1.859712e-03	9.69699e-01	1.09029e+01	0.089	0.896	
	11	-1.502e-01	2.391427e+01	0.0760	0.415	1.095098e-02	-1.97555e+00	1.25212e+01	0.158	0.899	
	12	2.074e-01	2.066088e+01	0.0656	0.555	2.124543e-02	3.16166e+00	1.12493e+01	0.281	0.904	
	13	-1.269e+00	3.417813e+01	0.1084	0.474	8.027186e-01	-1.16991e+01	1.68533e+01	0.694	0.905	
	14	7.375e-01	2.799078e+01	0.0888	0.193	1.693761e-01	8.30527e+00	1.51948e+01	0.547	0.811	
	BayesB	1	-9.777e-01	3.596898e+01	0.1017	0.405	4.606214e-01	-9.61605e+00	1.53689e+01	0.626	0.907
		2	4.965e-01	2.593115e+01	0.0788	0.390	1.173018e-01	6.29821e+00	1.20837e+01	0.521	0.901
4		-9.941e-01	3.696611e+01	0.1020	0.560	4.870099e-01	-9.74370e+00	1.60608e+01	0.607	0.915	
5		-4.239e+00	9.636366e+01	0.2121	0.200	5.748372e+00	-1.99874e+01	2.40972e+01	0.829	0.869	
6		-2.223e-01	2.729070e+01	0.0823	0.839	1.331562e-02	-2.70139e+00	1.33251e+01	0.203	0.802	
7		1.113e-01	2.111116e+01	0.0681	0.581	6.035581e-03	1.63446e+00	1.10551e+01	0.148	0.900	
8		-2.598e-01	2.267326e+01	0.0704	0.604	3.228674e-02	-3.69196e+00	1.10733e+01	0.333	0.898	
9		6.843e-02	2.173070e+01	0.0689	0.391	2.229760e-03	9.92863e-01	1.03528e+01	0.096	0.897	
11		-4.227e-02	2.312403e+01	0.0707	0.415	8.674818e-04	-5.97690e-01	1.16347e+01	0.051	0.903	
12		2.058e-01	2.195600e+01	0.0669	0.555	2.092082e-02	3.07760e+00	1.03828e+01	0.296	0.908	
13		-1.338e+00	4.200431e+01	0.1108	0.474	8.923503e-01	-1.20680e+01	1.70199e+01	0.709	0.920	
14		6.115e-01	3.138620e+01	0.0878	0.193	1.164587e-01	6.96319e+00	1.38614e+01	0.502	0.830	

# Summary

- Genomic Selection methods rely on shrinkage of marker effects to get reliable estimation
- There are several alternatives for shrinking marker effects
  - Treating marker effects as random
  - Fitting mixture models
  - (Using densities less extreme than normal)



# Summary

- Fitting Mixture distributions provides a much more powerful method for shrinking marker effects than simply treating marker effects as random

Web-based system

# Bioinformatics Infrastructure

- Identify informative regions for fine-mapping and gene discovery
- Provide a platform for collaborating (beef) researchers to undertake genomic training
  - eg US Meat Animal Research Center
  - Federally-funded beef projects
- Provide a platform for delivering genomic predictions to (the beef) industry

# Site access

- Follow links from [biggs.ansci.iastate.edu](http://biggs.ansci.iastate.edu)
  - BIGS – bioinformatics to implement genomic selection
- Federally-funded project (2010-2012) for US beef cattle researchers
  - Available for limited access to other parties conditional on demand for processors (64 CPUs)
  - Useful for benchmarking

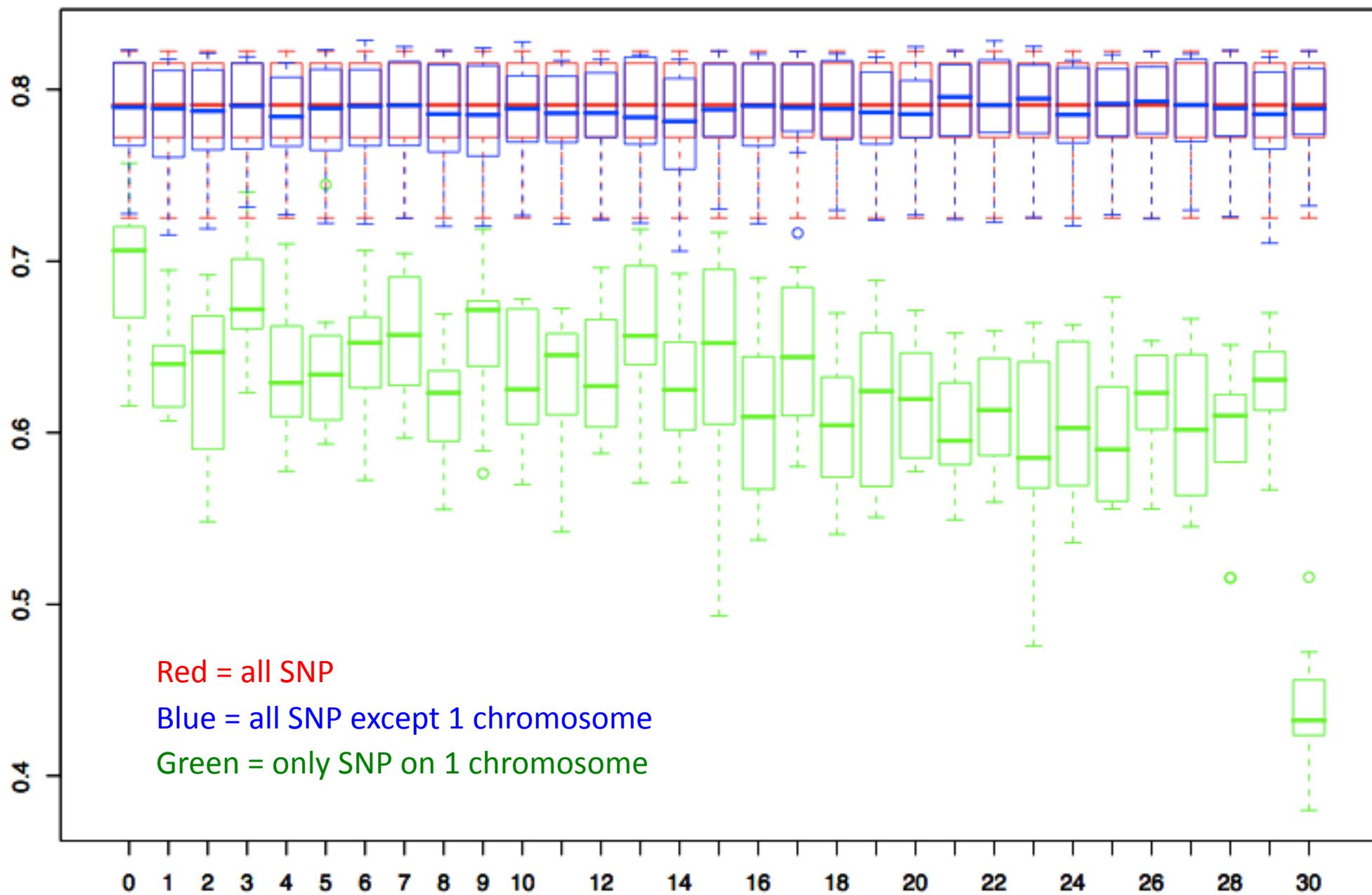
# Required Information

- Research from analysis of high-density genotypes to predict merit has several objectives
  - Determine predictive ability of
    - same-density panels in validation/target populations closely related to the training population
    - same-density panels in validation/target populations less related or unrelated to the training population
    - low-density panels in populations closely related to the training population
  - Motivate other genomic selection research

# Predictive ability of Individual Chromosomes

Milkfat

Data kindly shared by Vlad, LIC



# Problems with Validation



# BayesB then BayesA (100 markers)

“Heritability” for 100 markers chosen for trait in row, applied to trait in column

<b>0.64</b>	0.50	0.23	0.33	0.29	0.22	0.45	0.30	0.24
0.53	<b>0.61</b>	0.24	0.33	0.29	0.23	0.45	0.30	0.26
0.27	0.29	<b>0.57</b>	0.33	0.29	0.22	0.36	0.30	0.25
0.27	0.27	0.23	<b>0.67</b>	0.29	0.26	0.42	0.30	0.29
0.28	0.24	0.23	0.33	<b>0.57</b>	0.25	0.40	0.35	0.27
0.27	0.29	0.26	0.33	0.29	<b>0.53</b>	0.42	0.30	0.25
0.29	0.29	0.23	0.33	0.29	0.25	<b>0.70</b>	0.26	0.25
0.29	0.27	0.24	0.33	0.29	0.22	0.36	<b>0.63</b>	0.24
0.32	0.27	0.26	0.33	0.29	0.25	0.42	0.30	<b>0.65</b>

# Bayes B then Bayes A (100 markers)

Correlation in training data  
chosen for trait in row applied to trait in column

<b>0.79</b>	0.68	0.37	0.41	0.42	0.33	0.56	0.46	0.39
0.69	<b>0.76</b>	0.38	0.4	0.44	0.34	0.54	0.42	0.41
0.39	0.41	<b>0.77</b>	0.4	0.39	0.35	0.5	0.4	0.39
0.36	0.36	0.35	<b>0.78</b>	0.41	0.41	0.53	0.45	0.43
0.41	0.4	0.38	0.36	<b>0.79</b>	0.39	0.51	0.51	0.41
0.39	0.4	0.39	0.45	0.41	<b>0.72</b>	0.55	0.41	0.38
0.41	0.4	0.35	0.45	0.4	0.41	<b>0.87</b>	0.4	0.41
0.43	0.41	0.37	0.4	0.48	0.37	0.5	<b>0.79</b>	0.37
0.44	0.4	0.39	0.44	0.38	0.37	0.5	0.45	<b>0.78</b>

# 1st attempt Cross Validation

- Dataset 1 comprising 8 breeds
- Select best 100 markers in all data using BayesB

Training	B1		✓	✓	✓	✓	✓	✓	✓
	B2	✓		✓	✓	✓	✓	✓	✓
	B3	✓	✓		✓	✓	✓	✓	✓
	B4	✓	✓	✓		✓	✓	✓	✓
	B5	✓	✓	✓	✓		✓	✓	✓
	B6	✓	✓	✓	✓	✓		✓	✓
	B7	✓	✓	✓	✓	✓	✓		✓
	B8	✓	✓	✓	✓	✓	✓	✓	
Validation	B1	B2	B3	B4	B5	B6	B7	B8	

# Bayes B then Bayes A (100 markers)

markers in row chosen from Bayes B on all data, Bayes A trained in cross-validation for trait in column, predicting merit in omitted data

<b>0.66</b>	0.53	-0.02	0.09	0.02	-0.06	0.07	0.08	-0.03
0.53	<b>0.65</b>	0.01	0.03	0.1	-0.02	0.06	-0.02	0.06
0.01	0.03	<b>0.68</b>	0.02	-0.03	-0.02	-0.04	-0.01	-0.05
-0.05	-0.06	0.01	<b>0.68</b>	0.02	0.04	0.02	0.08	0.11
0.09	0.07	-0.02	0	<b>0.68</b>	0.04	0	0.2	0.04
-0.02	0.01	0.06	0.14	0.08	<b>0.58</b>	0.11	0.03	-0.03
-0.01	0.01	-0.04	0.14	0	0.1	<b>0.74</b>	-0.07	0.04
0.06	0.05	0.01	0.05	0.22	0.07	0.06	<b>0.69</b>	-0.05
0.08	-0.02	0.02	0.15	-0.08	-0.01	0.01	0.14	<b>0.7</b>

# StepWise then BayesA

Trait	Number of Markers in Model	r
1	108	0.899
2	106	0.909
3	126	0.926
4	129	0.923
5	105	0.924
6	138	0.906
7	58	0.928
8	108	0.927
9	136	0.925
10	107	0.922
11	123	0.926
12	135	0.927
13	125	0.925
14	127	0.919
15	135	0.897
16	127	0.927

# StepWise then BayesA

Data Set	Number of Markers in Model	r
1	123	0.926
2	125	0.919
3	129	0.919
4	131	0.924
5	132	0.922
6	132	0.921
7	135	0.923
8	133	0.924
9	142	0.913
10	135	0.923

Successive datasets have previously best markers removed

# StepWise and BayesA

Data Set	Number of Markers in Model	r
Data Set 1	123	0.926
	90	0.880
	50	0.774
	25	0.627
	15	0.530
	10	0.458
Data Set 10	10	0.368

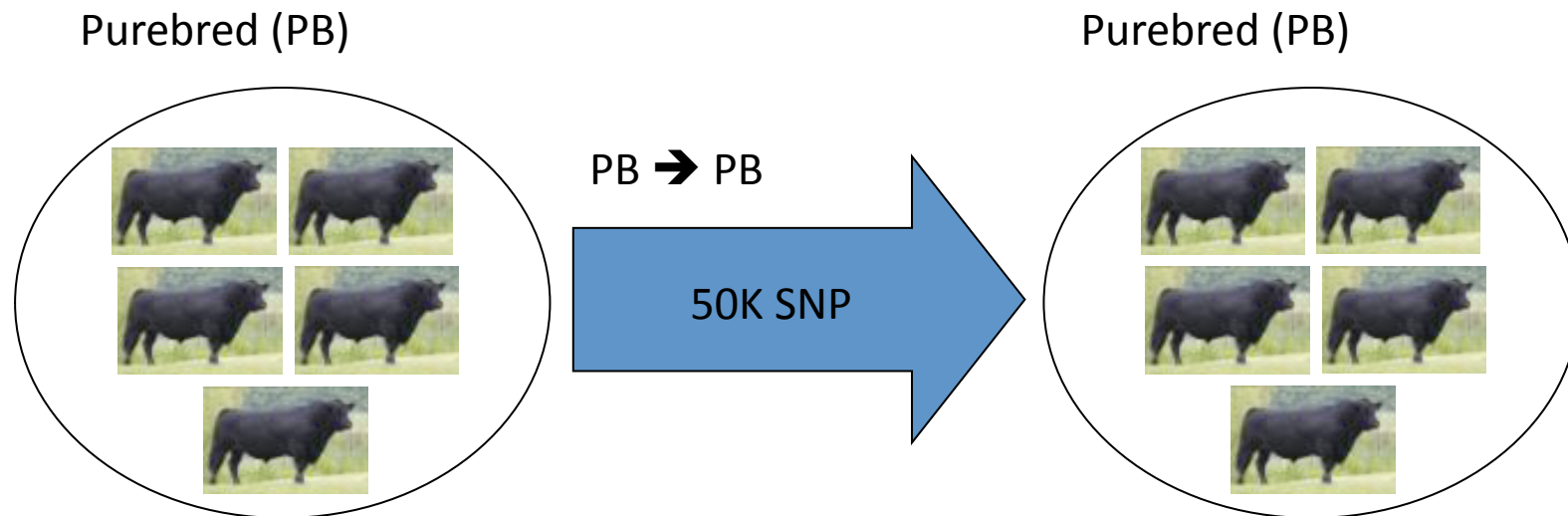
# Improved Validation



# Proper cross-validation

- Marker subset selection and marker estimation are undertaken on each training data subset and used to predict “virgin” data
- Correlation dropped to 0.18 (at best) when properly (100 marker subset chosen in training data) cross-validated

# Training and Validation



# Validation

- Almost always SNP that spuriously fit the data well
  - Having a model that fits the training data well provides relatively little information about how good the prediction will be in new data
    - Many world-changing research discoveries are announced in news releases and then never-to-be-heard-of-again
- Training & Validation can be done together to quantify the likely confidence in predictions

# Cross Validation

- Partition the dataset (by sire) into say three groups

Training	G1	
	G2	✓
	G3	✓
Validation	G1	



Derive g-EPD



Compute the correlation between predicted genetic merit from g-EPD and observed performance

# Cross Validation

- Every animal is in exactly one validation set

Training	G1		✓	✓
	G2	✓		✓
	G3	✓	✓	
Validation	G1	G2	G3	

# Cross-Validation

- 1800 bulls with EPDs - split into 3
  - At random
  - By sire ID - sire of bulls nested in subset
  - By sire ID - sires also fitted as fixed effects
  - By time - oldest, middle-aged, youngest

# Results

41028m	Random	Sire	Sire+cg	Time
Bayes A (B0)	0.745	0.726	0.646	0.732
Bayes B (.99)	0.722	0.700	0.618	0.712
Bayes C0	0.746	0.728	0.648	0.730
Bayes C(.50)	0.746	0.728	0.647	0.730
Bayes C(.99)	0.728	0.708	0.625	0.717
100m				
C.99/C100m	0.553	0.567	0.389	0.583
StepWise	0.547	0.558	0.393	0.542
PRESS	0.523	0.539	0.365	0.574

# Simulated SNP Results - 1184 QTL

52566 markers	Number of training animals			
	1000	2000	3000	4000
$\pi=0.977$				
B(true)	0.65	0.76	0.82	0.84
C(true)	0.62	0.74	0.80	0.83
B(inflated)	0.63	0.75	0.80	0.83
C(inflated)	0.60	0.71	0.77	0.80
B(0.50)	0.62	0.74	0.79	0.82
C(0.50)	0.60	0.70	0.75	0.78
B(0)	0.64	0.74	0.79	0.81
C(0)	0.59	0.70	0.75	0.78

True=#QTL/#markers; inflated=0.9 true; heritability=0.5  
 (Christian Stricker for Swiss Cattle Breeders)



# Simulated Results

2000 animals	Number of QTL		
	171	493	1184
B(true)	0.88	0.82	0.76
C(true)	0.88	0.81	0.74
B(inflated)	0.84	0.79	0.75
C(inflated)	0.70	0.74	0.71
B(0.50)	0.81	0.78	0.74
C(0.50)	0.65	0.72	0.70
B(0)	0.82	0.77	0.74
C(0)	0.64	0.72	0.70

True=#QTL/#markers; inflated=0.9 true; heritability=0.5  
 (Christian Stricker for Swiss Cattle Breeders)

# 50k within-breed predictions

Angus AI bulls	Train 2 & 3 Predict 1	Train 1 & 3 Predict 2	Train 2 & 3 Predict 3	Overall
Trait				
<b>BFat</b>	<b>0.71</b>	<b>0.64</b>	<b>0.73</b>	<b>0.69</b>

# 50k within-breed predictions

Angus AI bulls Trait	Train 2 & 3 Predict 1	Train 1 & 3 Predict 2	Train 2 & 3 Predict 3	Overall
BFat	0.71	0.64	0.73	0.69
CED	0.65	0.47	0.65	0.59
CEM	0.58	0.56	0.62	0.53
Marb	0.72	0.73	0.64	0.70
REA	0.63	0.63	0.60	0.62
SC	0.60	0.57	0.50	0.55
WWD	0.65	0.44	0.66	0.52
YWT	0.69	0.51	0.72	0.56

# 50k within-breed predictions

- These predictions are characterized by correlations between genomic merit and realized performance from 0.5 to 0.7
  - They will account for 25 ( $0.5^2$ ) to 50% ( $0.7^2$ ) genetic variation
  - Compared to a trait with heritability of 25%, the genomic predictions would be equivalent to observing 6 to 15 offspring in a progeny test
- Correlations of 0.7 are similar to the performance of genomic predictions in dairy cattle

# 50k within-breed predictions

- These predictions are not as highly accurate as can be achieved in a well designed and managed progeny test, say with 100 or more offspring
- However, for many traits they are much more reliable for animals of a young age (eg prior to first selection) than is currently achievable from individual performance

# Across-breed prediction

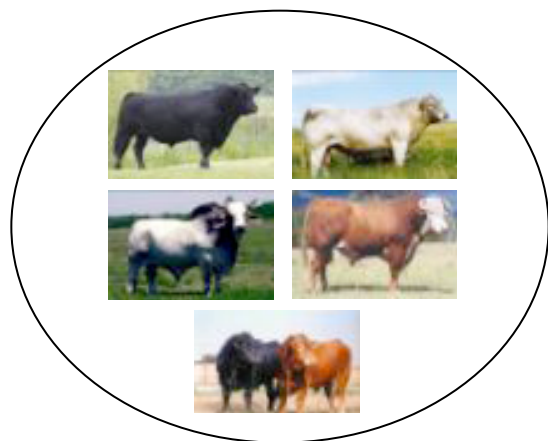
- Refers to the process of predicting performance for a breed or cross that was not in the training dataset
- Critical interest to those selecting breeds that are not well represented in the training populations
- May not be as reliable as within-breed predictions due to complexities associated with non-additive genetic effects (dominance and epistasis)
- Potential can be assessed by simulating the effects of major genes using real SNP genotypes on various populations

# Introduction

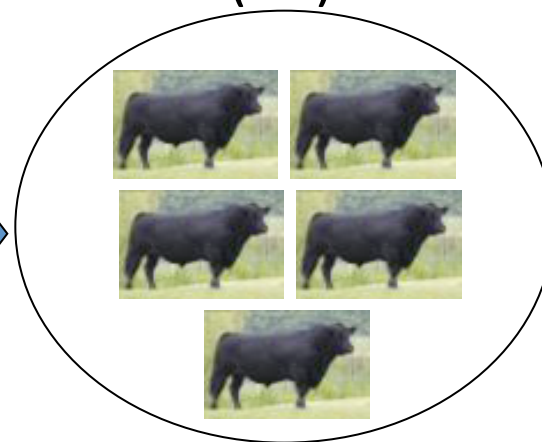
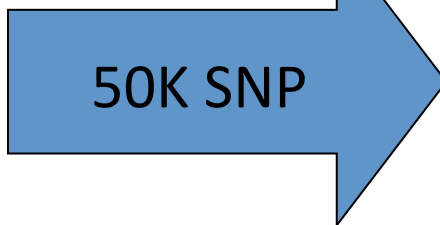
- Toosi et al.,(2008) simulated genotypic and phenotypic data
  - Training in crossbred and MB populations
  - Successful selection of PB for MB performance
- Linkage Disequilibrium (LD)
  - Simulated LD in pure and MB populations may not accurately reflect real LD in beef cattle populations

# Objective

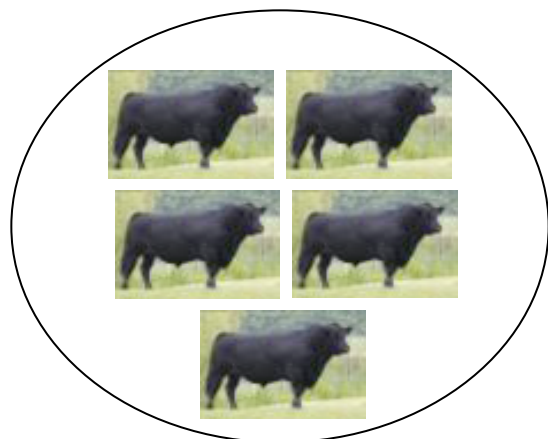
Training Populations → Validation Populations  
Multi-breed (MB) Purebred (PB)



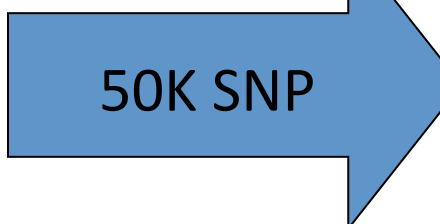
MB → PB



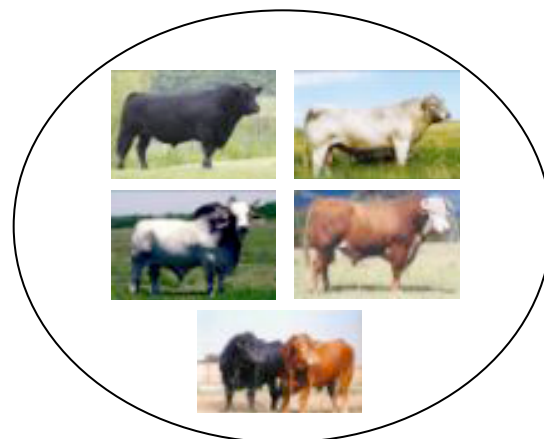
Purebred (PB)



PB → MB



Multi-breed (MB)





# 50K SNP Datasets

MB Population (N=924)

PB Population (N=1086)



Angus 239



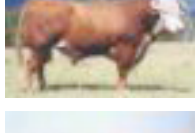
Angus 1086



Brahman 10



Charolais 183



Hereford 78



Limousin 45



Maine-Anjou 137



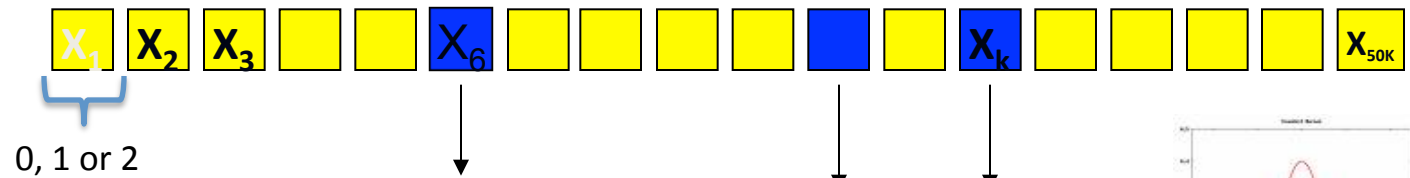
Shorthorn 97



South Devon 135

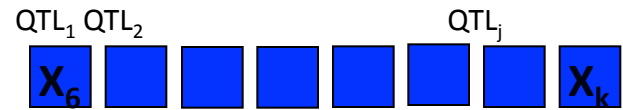
# Simulation of Additive Genetic Merit and Phenotypic Performance

50K SNP



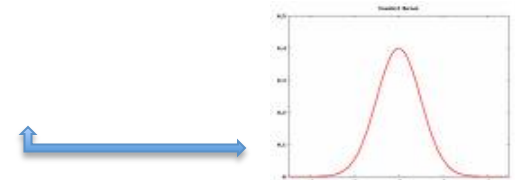
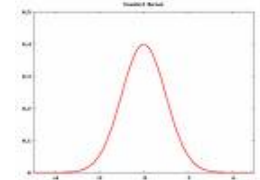
SNP chosen at random

QTL 50, 100, 250, 500



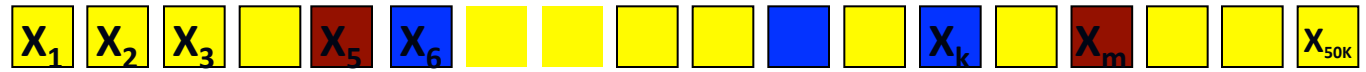
Additive Genetic Merit

Phenotypic performance

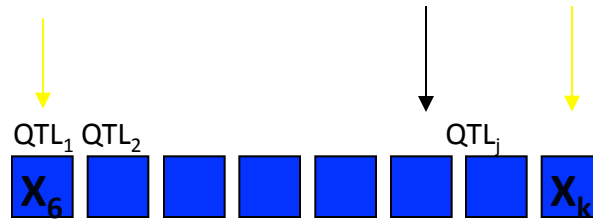


# Marker Panels

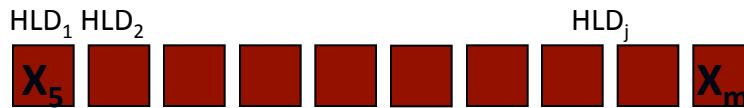
50K SNP



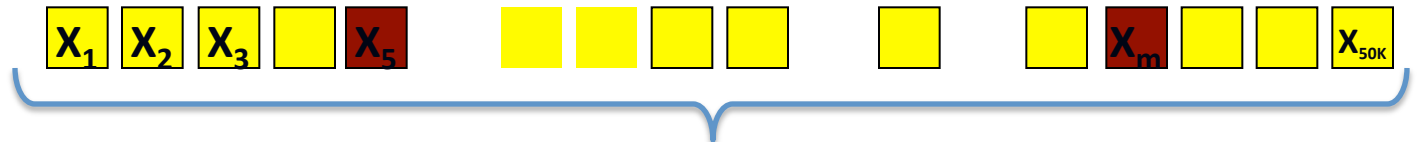
QTL<sub>50, 100, 250, 500</sub>



HLD<sub>50, 100, 250, 500</sub>



50K w/o QTL



Bayesian Analysis

## Simulated Phenotypes/real 50k Data

- Effect of number of available markers

<b>50 QTL</b>	<b>Train in Multibreed Validate in Purebreed</b>	<b>Train in Purebreed Validate in Multibreed</b>
Just QTL	0.953	0.962
QTL + Best markers	0.931	0.938
QTL + 50k	0.766	0.842

## Simulated Phenotypes/real 50k Data

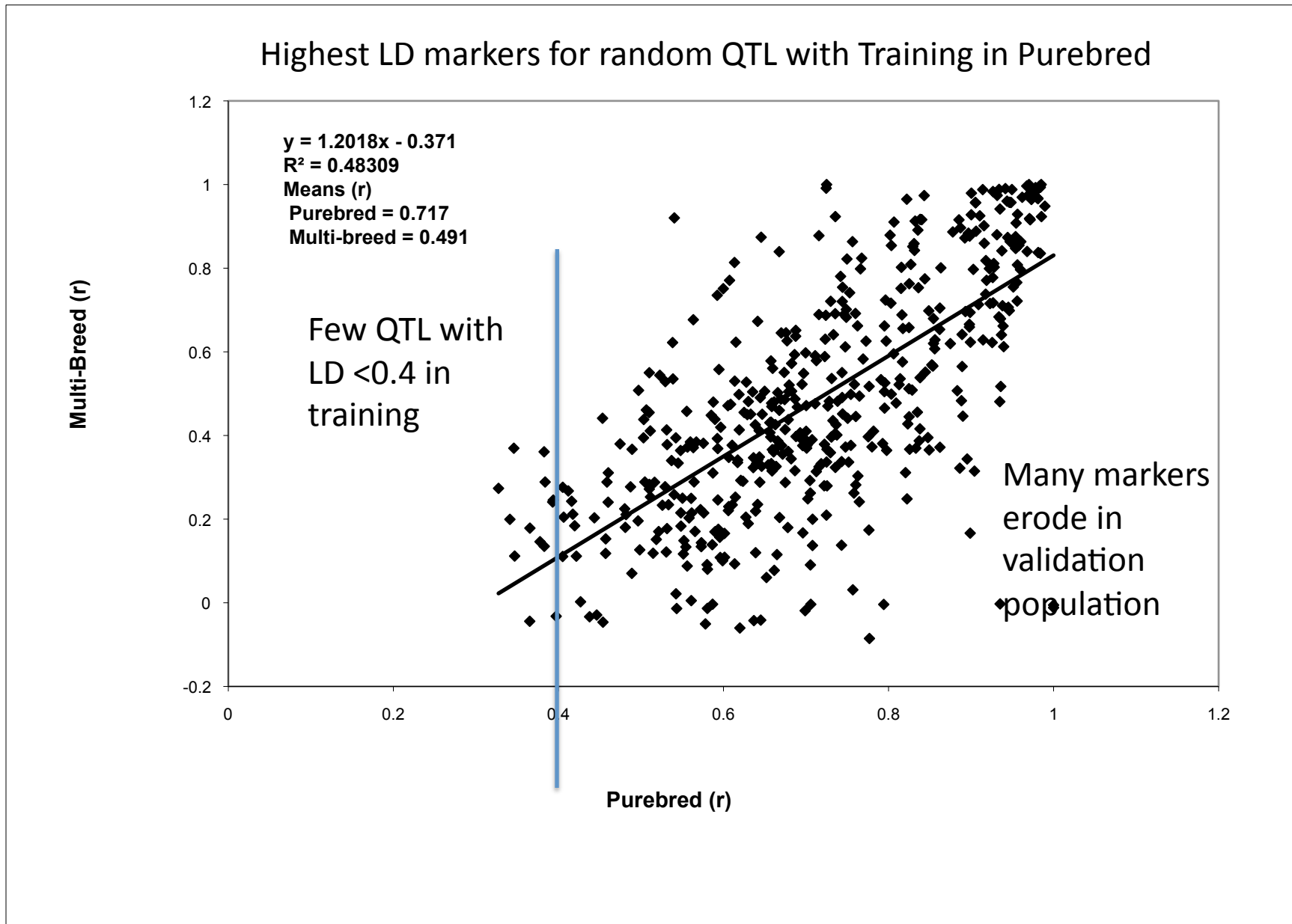
- Effect of number of available markers

<b>50 QTL</b>	<b>Train in Multibreed Validate in Purebreed</b>	<b>Train in Purebreed Validate in Multibreed</b>
Just QTL	0.953	0.962
QTL + Best markers	0.931	0.938
QTL + 50k	0.766	0.842
Just Best markers	0.570	0.489
50k w/o QTL (real life)	0.388	0.422

## Effect of number of available markers

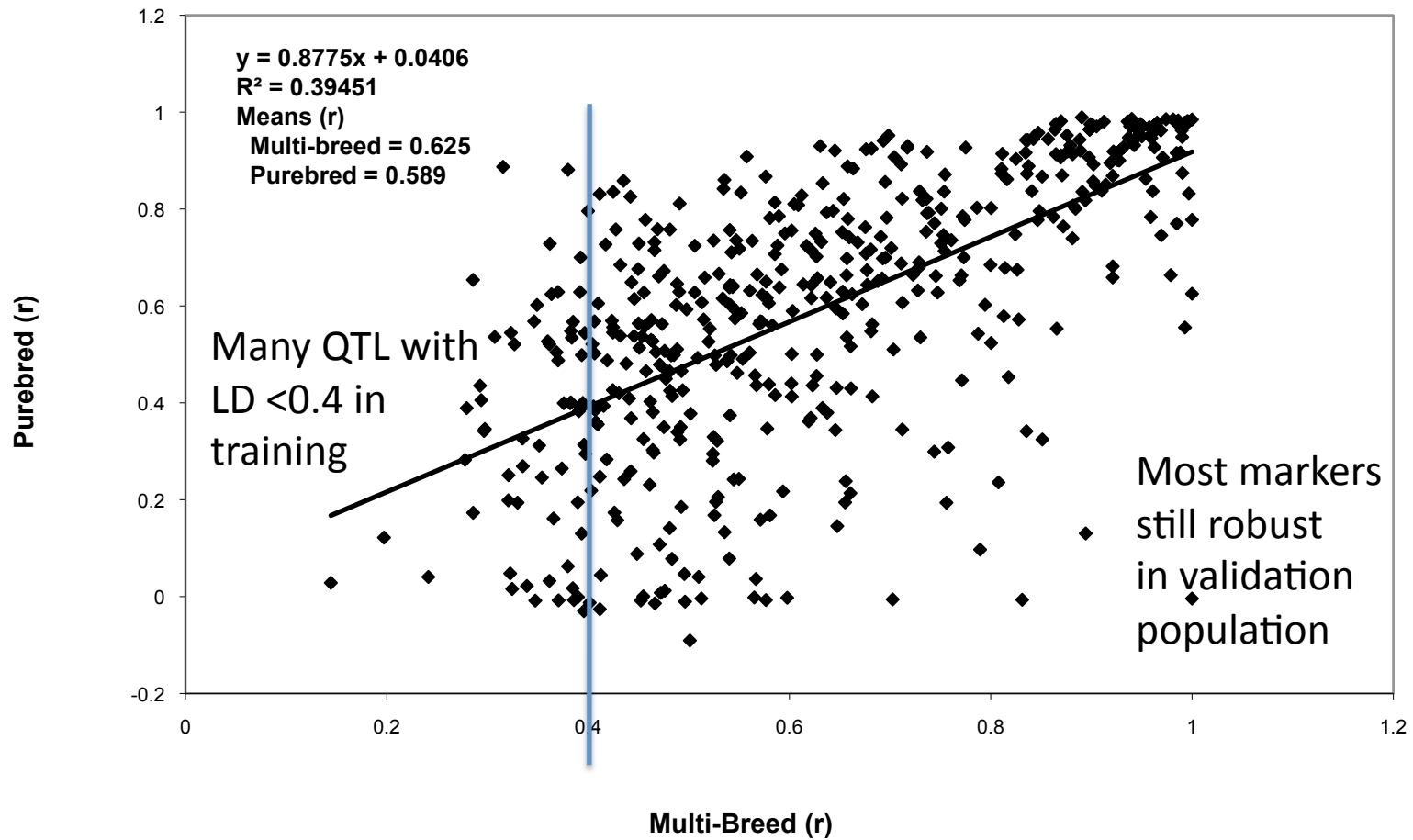
- Redundant markers reduce accuracy
  - Increased type I errors
- Accuracy suffers greatly when QTL not on panel
  - Not enough markers of sufficiently high LD to act as good proxies on a one-for-one basis
- Multibreed population generally inferior to purebred

# Purebred or Crossbred



# Purebred or Crossbred

Highest LD markers for random QTL with Training in Crossbred





## Effect of number of available markers

- Easier to find high LD markers in purebreds than multibreed populations because average LD is higher
  - Favors the use of purebred populations
  - Necessitates higher density SNP panels in multibreeds
- Markers chosen in purebreds may be less informative in multibreed populations as they will have less LD
- Markers that work well in multibreed populations seem to work just as well in purebred populations
- Nice to have larger multibreed populations & denser panels

Correlations between true and predicted genetic merits in validation population

Panel: QTL

QTL	MB→PB	PB→MB
50	0.953	0.962
100	0.938	0.941
250	0.840	0.853
500	0.720	0.786

## Simulated Phenotypes/real 50k Data

- Effect of number of QTL

50k w/o QTL	Train in Multibreed Validate in Purebreed	Train in Purebreed Validate in Multibreed
50 QTL	0.388	0.422
100 QTL	0.289	0.308
250 QTL	0.247	0.276
500 QTL	0.200	0.299

- Identical trends when panel comprises QTL only
- These correlations a/c for < 20% variation at best

Correlations between true and predicted genetic merits in validation population

Panel: HLD

QTL	MB→PB	PB→MB
50	0.570	0.486
100	0.513	0.480
250	0.510	0.429
500	0.372	0.391

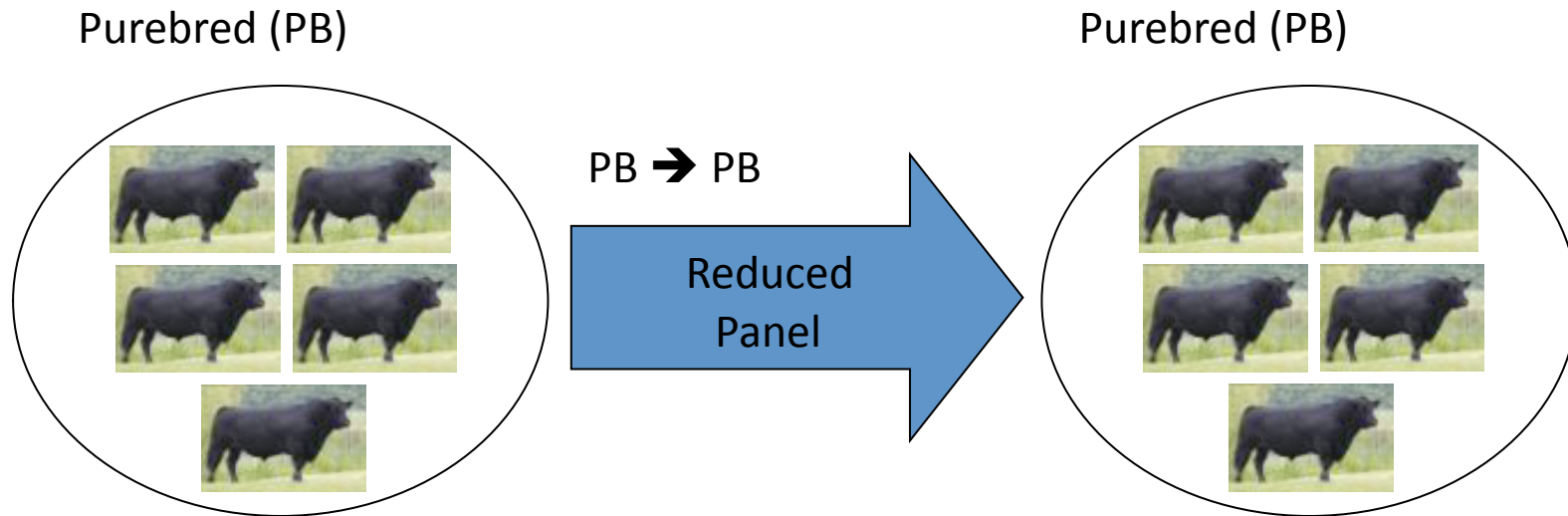
## Average LD between QTL and HLD marker in PB or MB populations

HLD to QTL chosen from	HLD-QTL LD assessed in	
	<b>PB</b>	<b>MB</b>
<b>PB</b>	0.549	0.322
<b>MB</b>	0.412	0.408

# Conclusions

- MB population
  - A good choice to carry out genomic selection
  - Reasonably accurate estimate of genetic merits of selection candidates in a PB population
- Accuracy of genetic merit in genomic selection
  - Higher with fewer QTL
  - Erodes when more uninformative SNPs added
- The extent of LD hence  $r^2$  are highly variable
  - Lower average  $r^2$  in MB than PB populations
  - No complete LD for all QTL with SNPs
  - Denser markers are needed

# Training and Validation



# Reduced panel within-breed selection

- Two-stage Bayesian analysis
  - Run all 50k markers
    - in each of the three training sets (2&3, 1&3, 1&2)
  - Select the best 600 markers on model frequency and genomic coverage
  - Rerun the training and validation analyses using only the markers on the 600 marker panel



# 50k versus 600 markers

Angus AI bulls	50k panel Overall	600 markers Overall
Trait		
<b>BFat</b>	<b>0.69</b>	<b>0.63</b>

# 50k versus 600 markers

Angus AI bulls Trait	50k panel Overall	600 markers Overall
<b>BFat</b>	<b>0.69</b>	<b>0.63</b>
<b>CED</b>	<b>0.59</b>	<b>0.61</b>
<b>CEM</b>	<b>0.53</b>	<b>0.55</b>
<b>Marb</b>	<b>0.70</b>	<b>0.67</b>
<b>REA</b>	<b>0.62</b>	<b>0.56</b>
<b>SC</b>	<b>0.55</b>	<b>0.51</b>
<b>WWD</b>	<b>0.52</b>	<b>0.49</b>
<b>YWT</b>	<b>0.56</b>	<b>0.55</b>

# 384 SNP Panels

- Panels of 600 markers per trait for 8 traits would require a single panel of 4,800 markers
- Technology is moving such that larger panels are costing the same as smaller panels used to, rather than reducing the cost of smaller panels
- Significantly cheaper panels are currently limited to 384 (or less) SNP
  - Allow 100 or so of the best SNP for 3-4 key traits

# Even Smaller Panels

Validation in 698 steers with carcass phenotypes

Trait	50	100	150	200	384
Marb	0.28	0.29	0.39	0.43	0.49
REA					0.43

# Validation in New AI Bulls

Trait	50k	600	384
Validation	3-way		275
BFat	0.69	0.63	0.32
Marb	0.70	0.67	0.59
REA	0.62	0.56	0.58
YWT	0.56	0.55	0.35
CCWT			0.44
HP			0.39

# Summary – beef cattle in US

- 50k within breed (like 5-15 progeny)
- 50k across breed  
(like 1 individual record or 5 progeny)
- Reduced panel within breed  
(varies up to 50k accuracy)

# Validation Statistics

# Validation Statistics

- Proportion of additive variation accounted for by the genomic prediction
  - Molecular BV used as an observation
- 1/ Multivariate model using the MBV as a trait to estimate (eg ASREML) the genetic correlation
- 2/ Reduction in estimated sire variance when the MBV is included as a fixed effect in the model
- 3/ Regression of phenotype on MBV



# Thallman et al, 2009 BIF

Data on 1,000 animals representing 100 sires

		Proportion of additive variance explained by MBV			
		BVN		Reduction	Regression
		res	cov	estd	res cov=0
heritability	rg	Data Simulated from Additive Model Only			
0.1	0.04	0.11	0.08	0.02	<del>0.05</del>
0.1	0.16	0.21	0.23	0.17	<del>0.21</del>
0.1	0.36	0.38	0.44	1.40	<del>6.62</del>
0.1	0.64	0.54	0.64	0.29	<del>-0.23</del>
0.3	0.04	0.06	0.05	0.04	0.05
0.3	0.16	0.17	0.19	0.15	0.20
0.3	0.36	0.35	0.40	0.35	0.42
0.3	0.64	0.64	0.68	0.66	0.83
0.5	0.04	0.05	0.05	0.04	0.05
0.5	0.16	0.16	0.18	0.16	0.18
0.5	0.36	0.35	0.39	0.36	0.39
0.5	0.64	0.63	0.66	0.63	0.72

Some observations on across-breed prediction in dairy cattle

Comparison of the 5-SNP window  
variance in unrelated animals

Holstein (HO) using 8512 bulls

Jersey (JE) using 1915 bulls

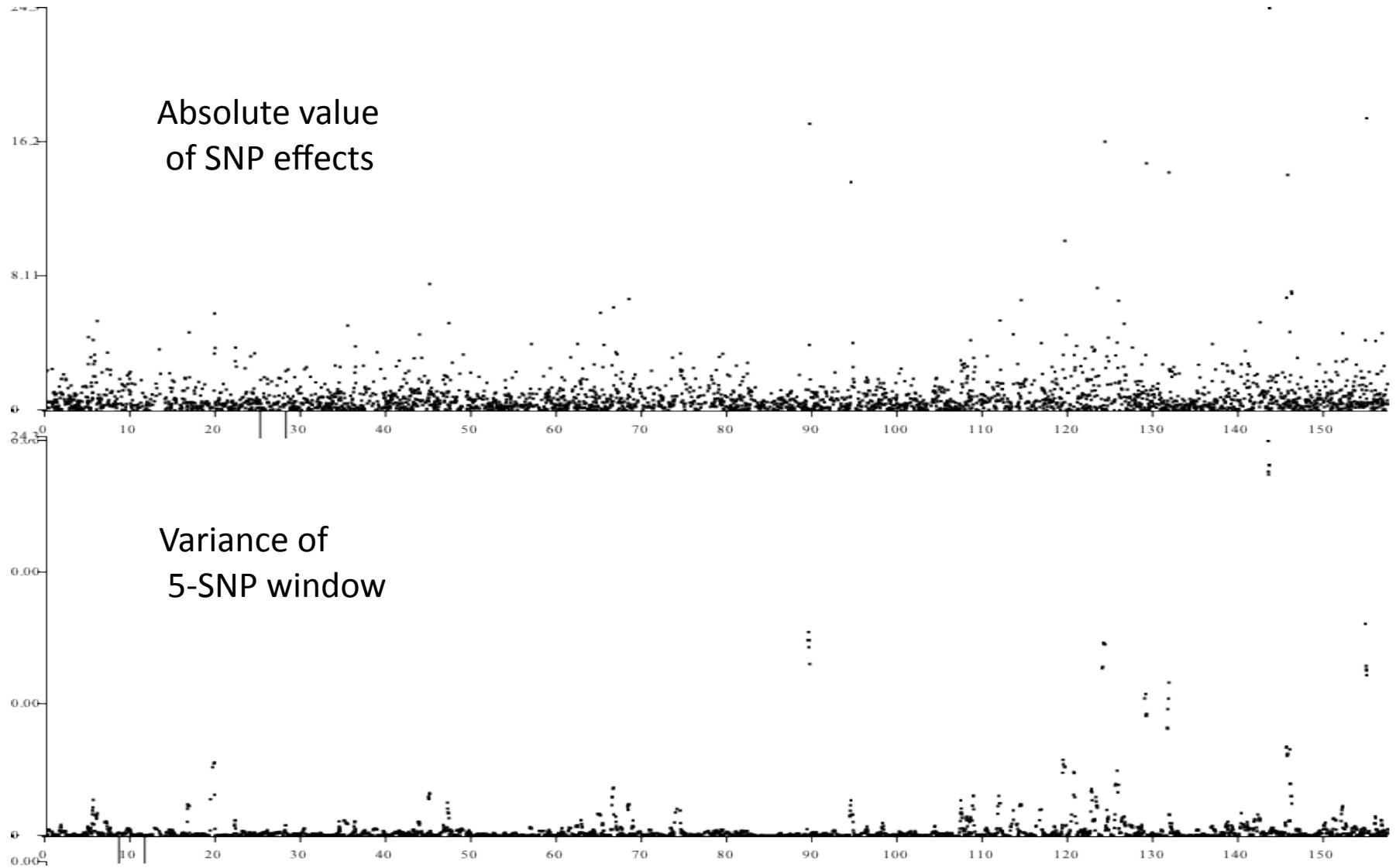
Brown Swiss (BS) using 742 bulls

Milk Production

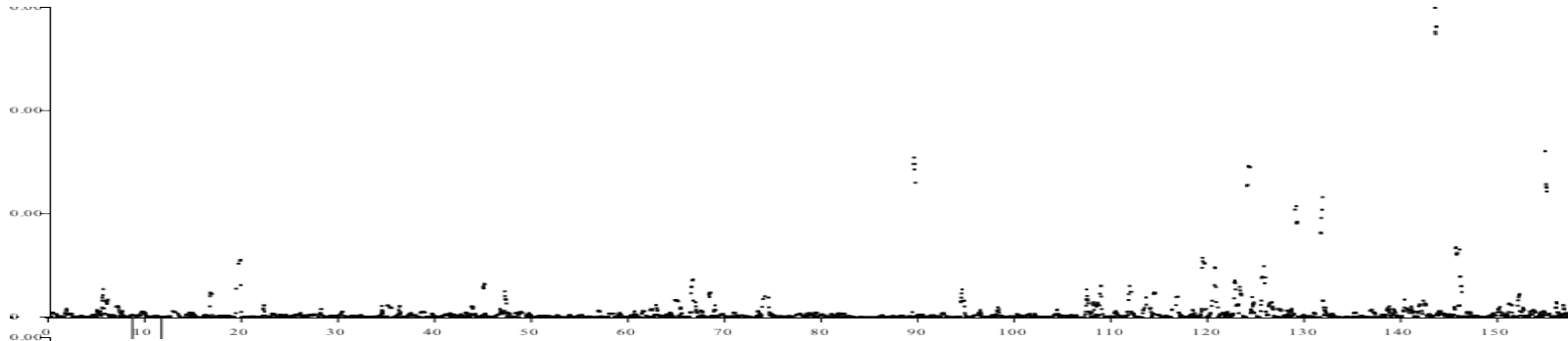
# Correlations Genomic & ProgenyTest

Method	Brown Swiss	Jersey	Holstein
Bayes A	0.194	0.198	
	0.191	0.201	
Bayes B ( $\pi=0.9$ )	0.141	0.244	
+FindScale	0.143	0.247	
Bayes C ( $\pi=0.9$ )	0.141	0.180	
+FindScale	0.145	0.183	
+FindScale	0.077 (JE & HO)	0.197 (BS & HO)	0.253 (BS & JE)
Bayes C0	0.180	0.084	
+FindScale	0.184	0.082	
Bayes CPi	0.146	0.172	
+FindScale	0.152	0.169	

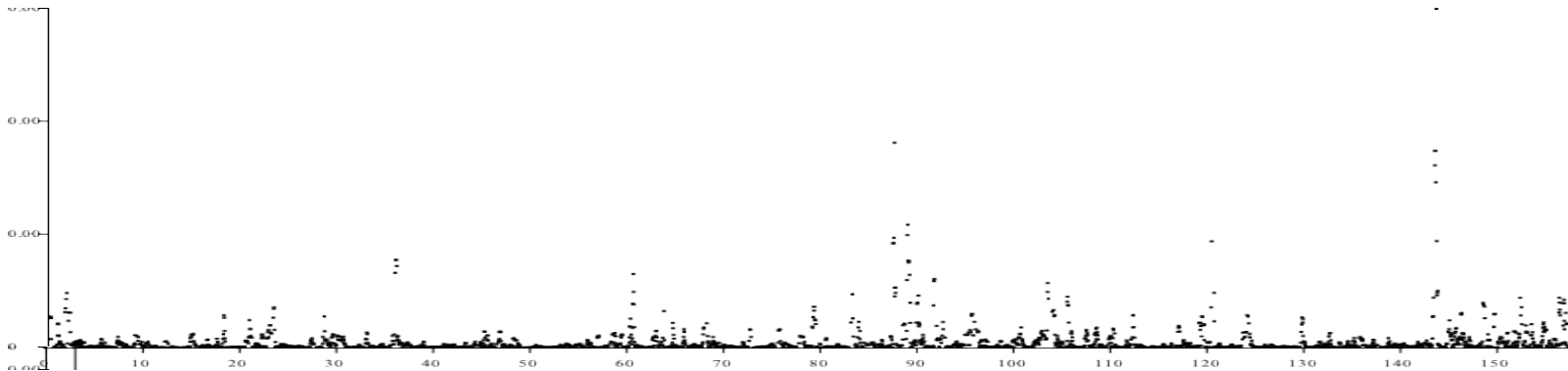
# Holstein BTA1 Milk



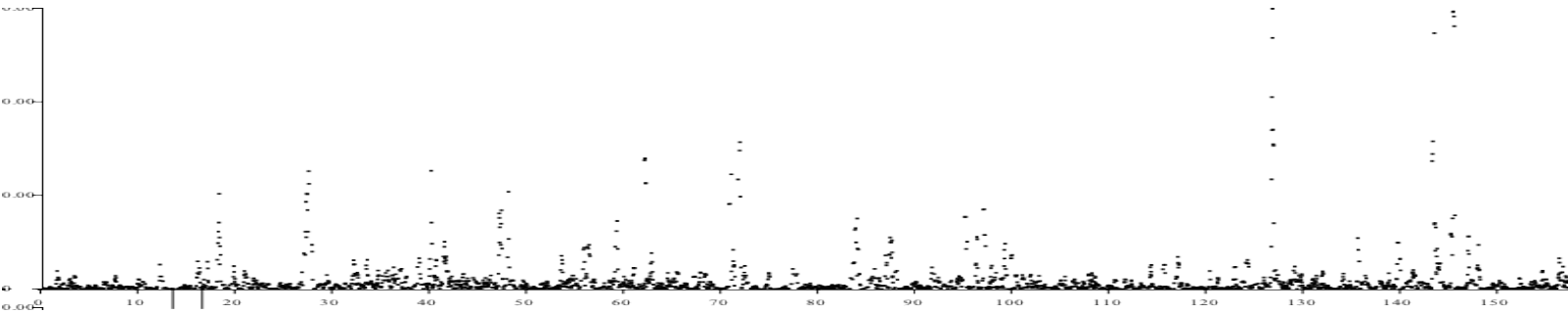
# BTA1 - Milk



HO



JE



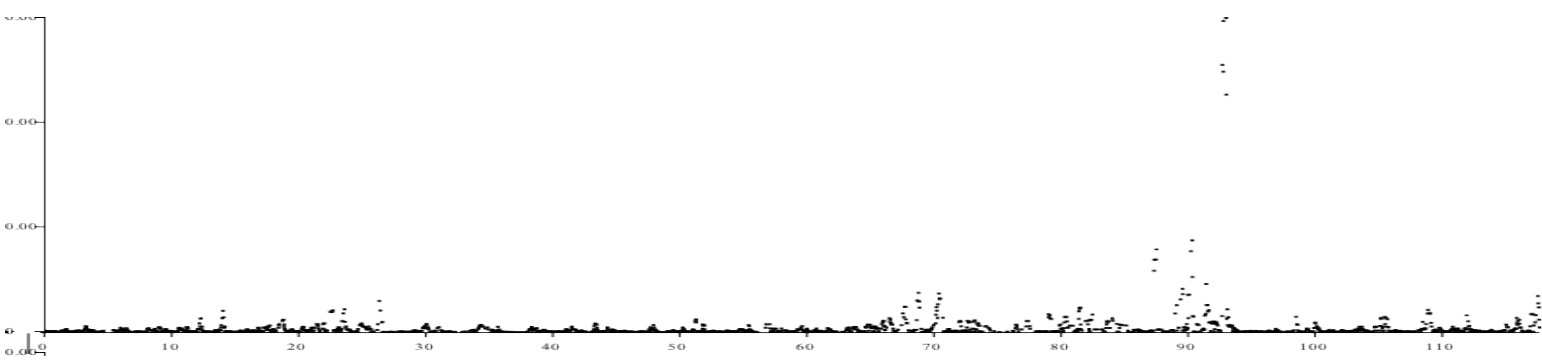
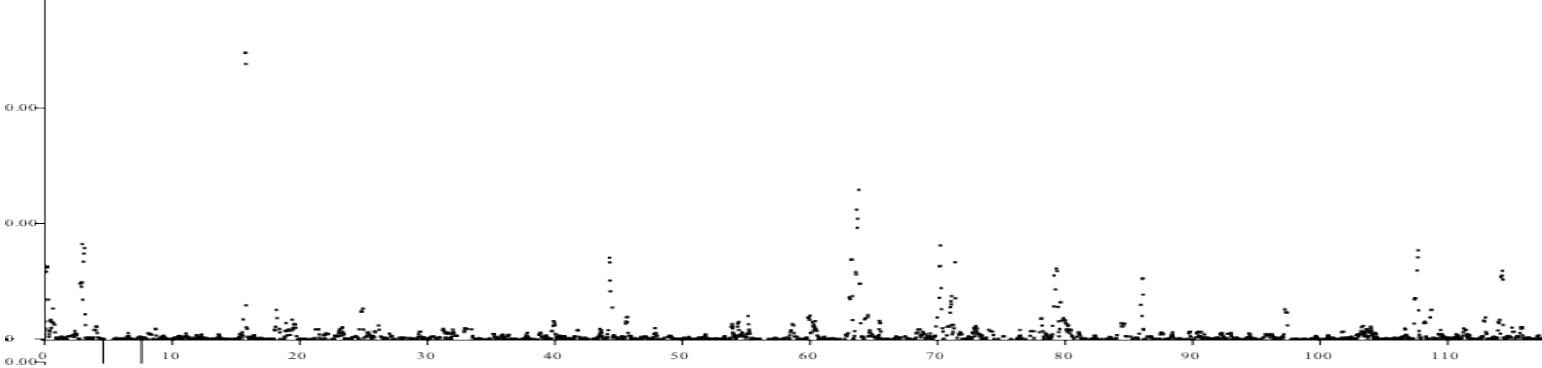
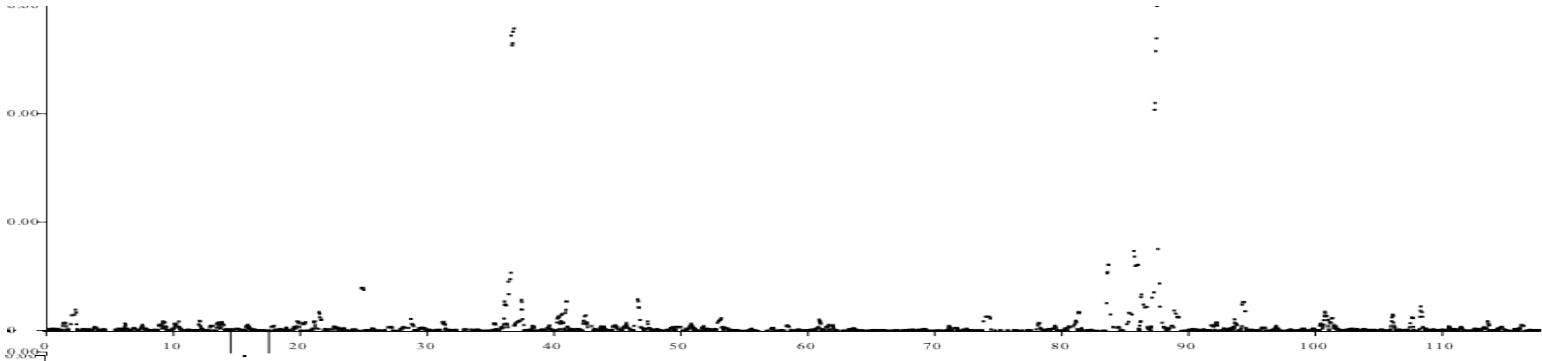
BS

# BTA6 - Milk

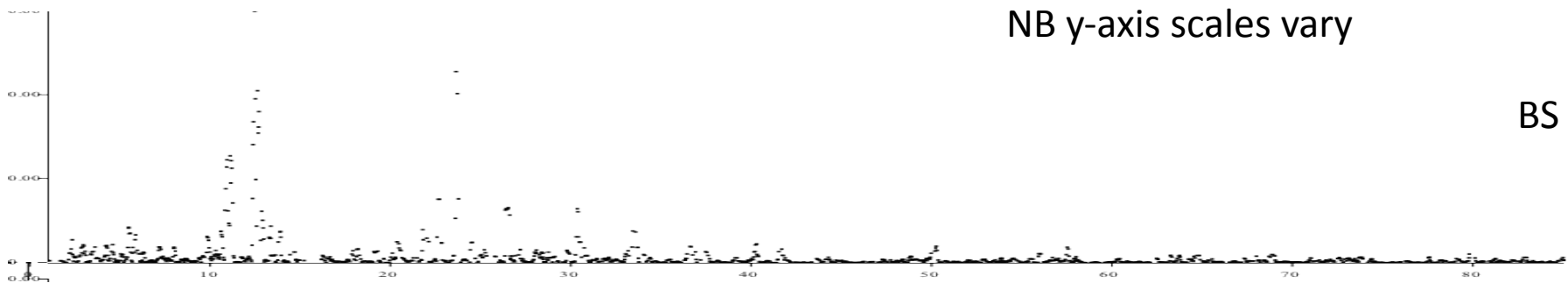
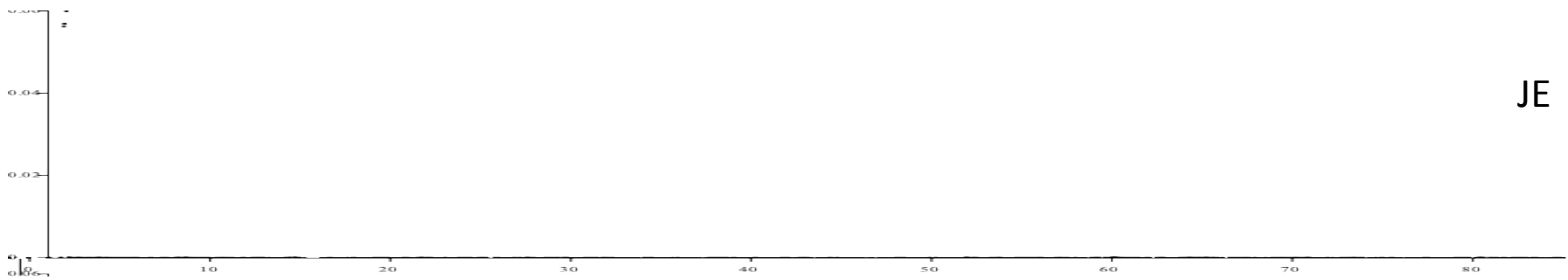
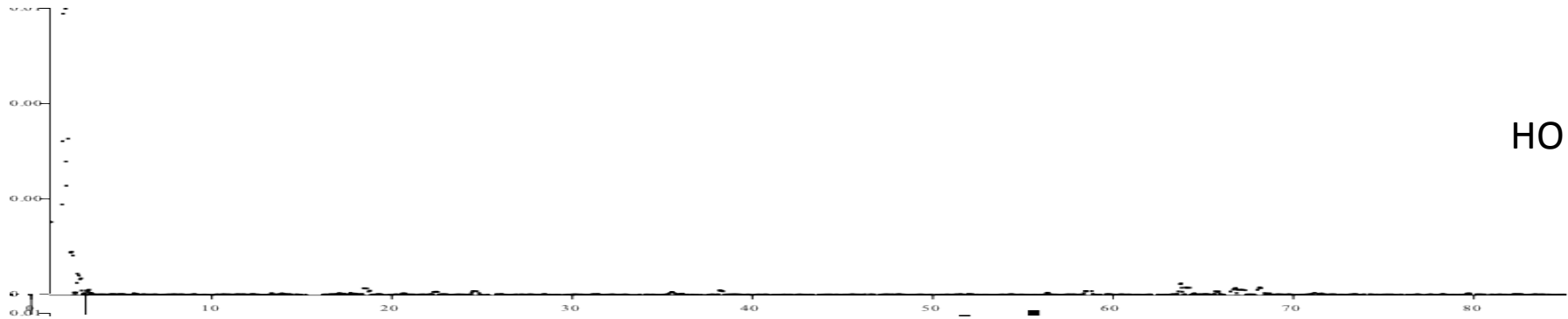
HO

JE

BS

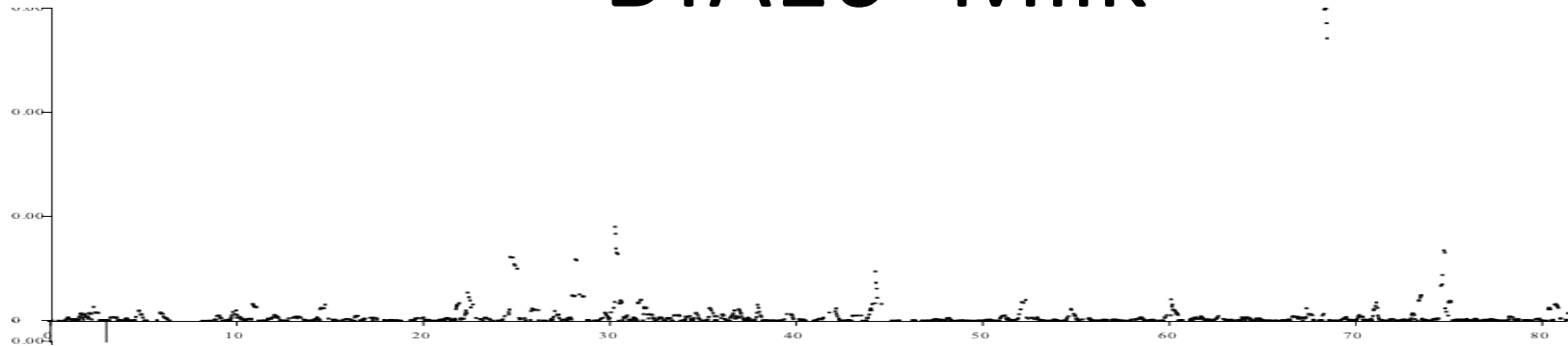


# BTA- 14 (location of DGAT1)

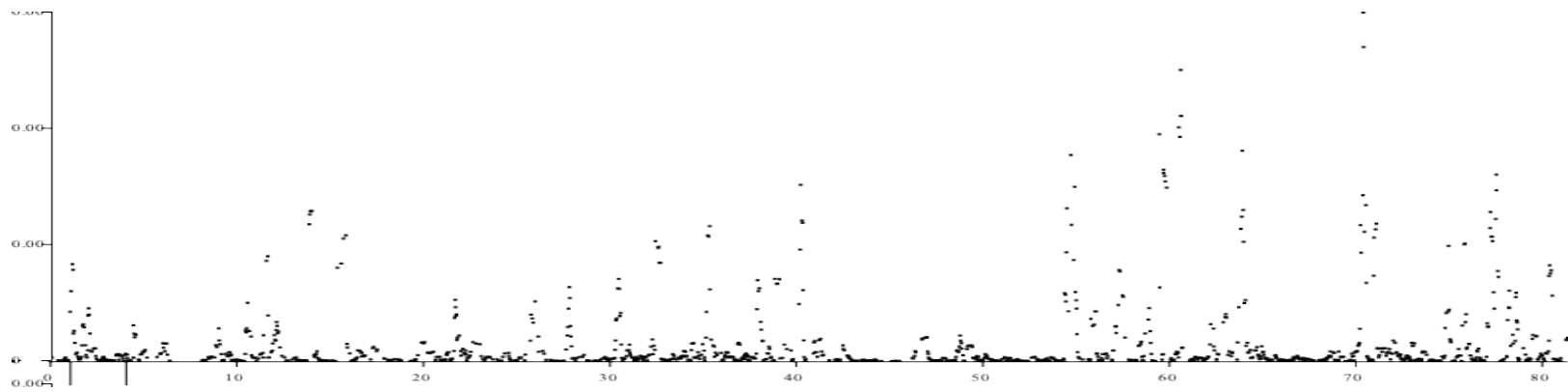




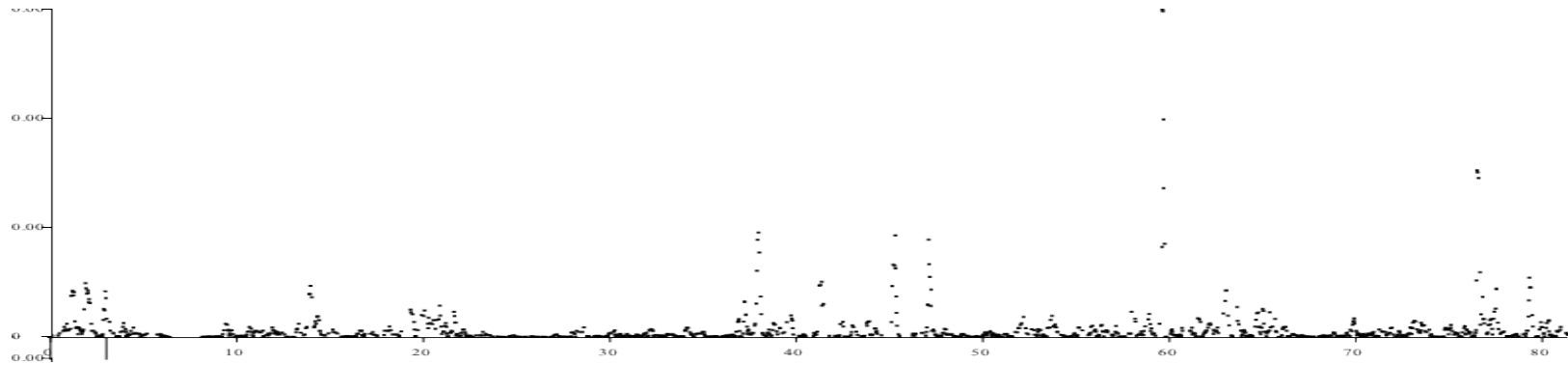
# BTA16 -Milk



HO



JE



BS

# *Genomic Selection*

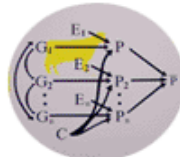
## Estimation of the mixture fraction

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# Analytical Methods

	“BLUP”	BayesA	BayesB	BayesC	BayesC <i>P</i> i
Number SNP	All	All			
			1-pi	1-pi	1-pi
SNP Variance	constant			constant	constant
		variable	variable		
pi	NA	NA			
			known	known	
					unknown

# Simulated Results

2000 animals	Number of QTL		
52,566 SNP markers	171	493	1184
BayesB(true pi)	0.88	0.82	0.76
BayesB(inflated pi)	0.84	0.79	0.75
BayesB(0.50)	0.81	0.78	0.74
Bayes A=B(0)	0.82	0.77	0.74
“BLUP”=C(0)	0.64	0.72	0.70

True=#QTL/#markers; inflated=0.9 true; heritability=0.5  
(Christian Stricker for Swiss Cattle Breeders)

pi matters!

# How do you know $\pi$ ?

## Mixture Models (model selection)

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \sum \mathbf{M}_i \mathbf{a}_i \delta_i + \mathbf{e}$$

*estimate  $\delta_i$ ,  $\sigma_a^2$  and  $\sigma_e^2$*

*BayesC (known  $\pi$ ) "BLUP" = C(0)*

*$\pi$  = fraction loci with no effect*

*estimate  $\pi$  prior  $U[0,1]$ ,  $\delta_i$ ,  $\sigma_a^2$  and  $\sigma_e^2$*

*BayesC $\pi$*

Fernando et al 2009  
(in preparation)

# Simulated Results

- 2000 unlinked loci, Q QTL, N training animals, 1000 validation animals, heritability =0.5

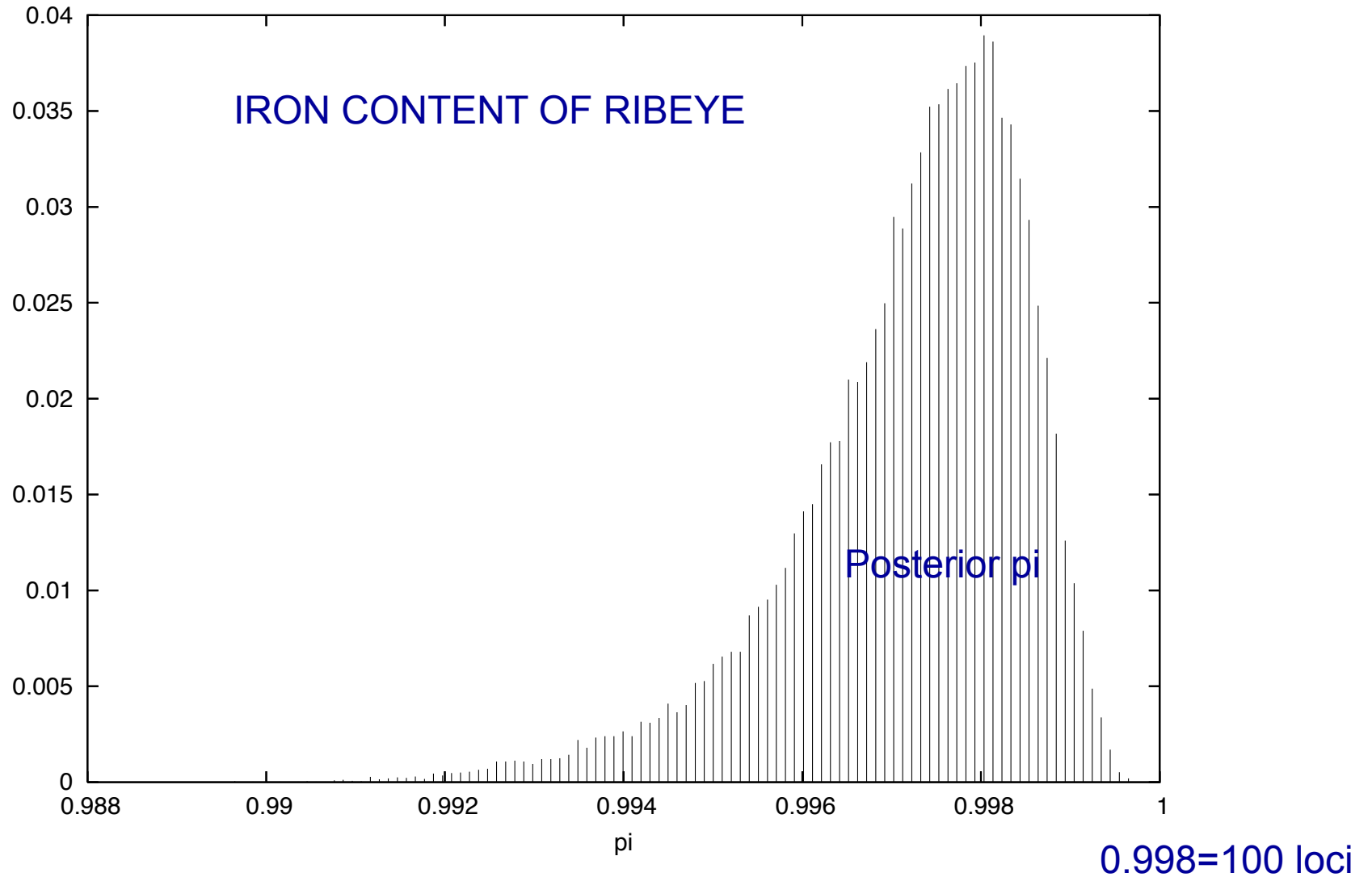
			BayesB (.5) (pi known)	Bayes Cpi (pi unknown)	
N	Q	pi	Correlation	pi-hat	Correlation
2000	10	0.995	<b>0.937</b>	0.994	<b>0.995</b>
2000	200	0.90	<b>0.834</b>	0.899	<b>0.866</b>
2000	1900	0.05	<b>0.571</b>	0.202	<b>0.613</b>
4000	1900	0.05	<b>0.722</b>	0.096	<b>0.763</b>

# Simulated Results - Real 50k

- Train 1086 purebred animals
- Validate 984 multibreed animals
- Random 50 SNP = QTL ( $\pi=0.999$ )
- Heritability=0.25

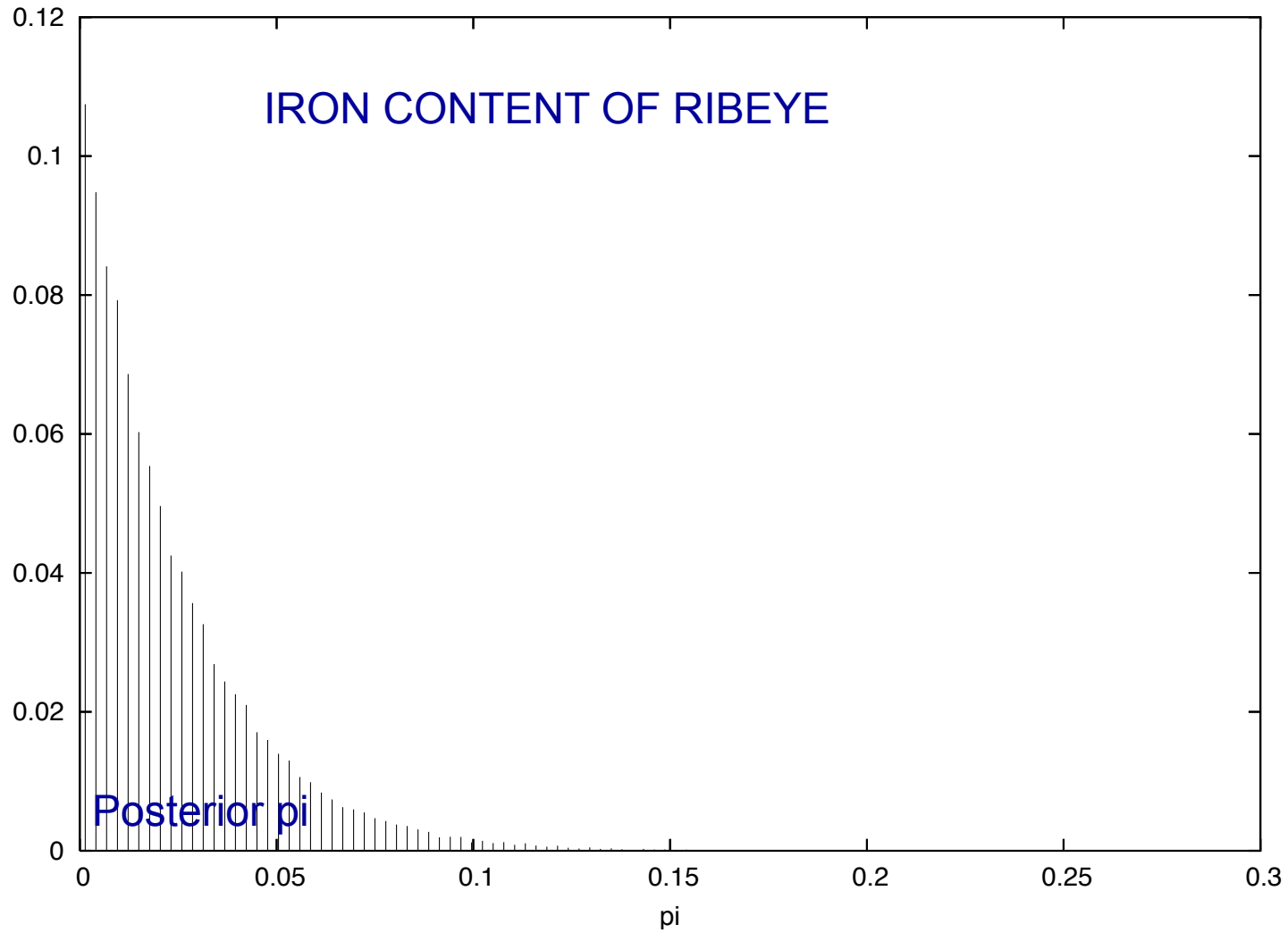
	Correlation True and Predicted Merit		
Assumed $\pi$	Bayes B ( $\pi$ known)	Bayes C ( $\pi$ known)	Bayes Cpi ( $\pi$ unknown)
0.999	0.86	0.86	
0.25	0.70	0.26	
N/A			0.86

# 50,000 markers (bovine)

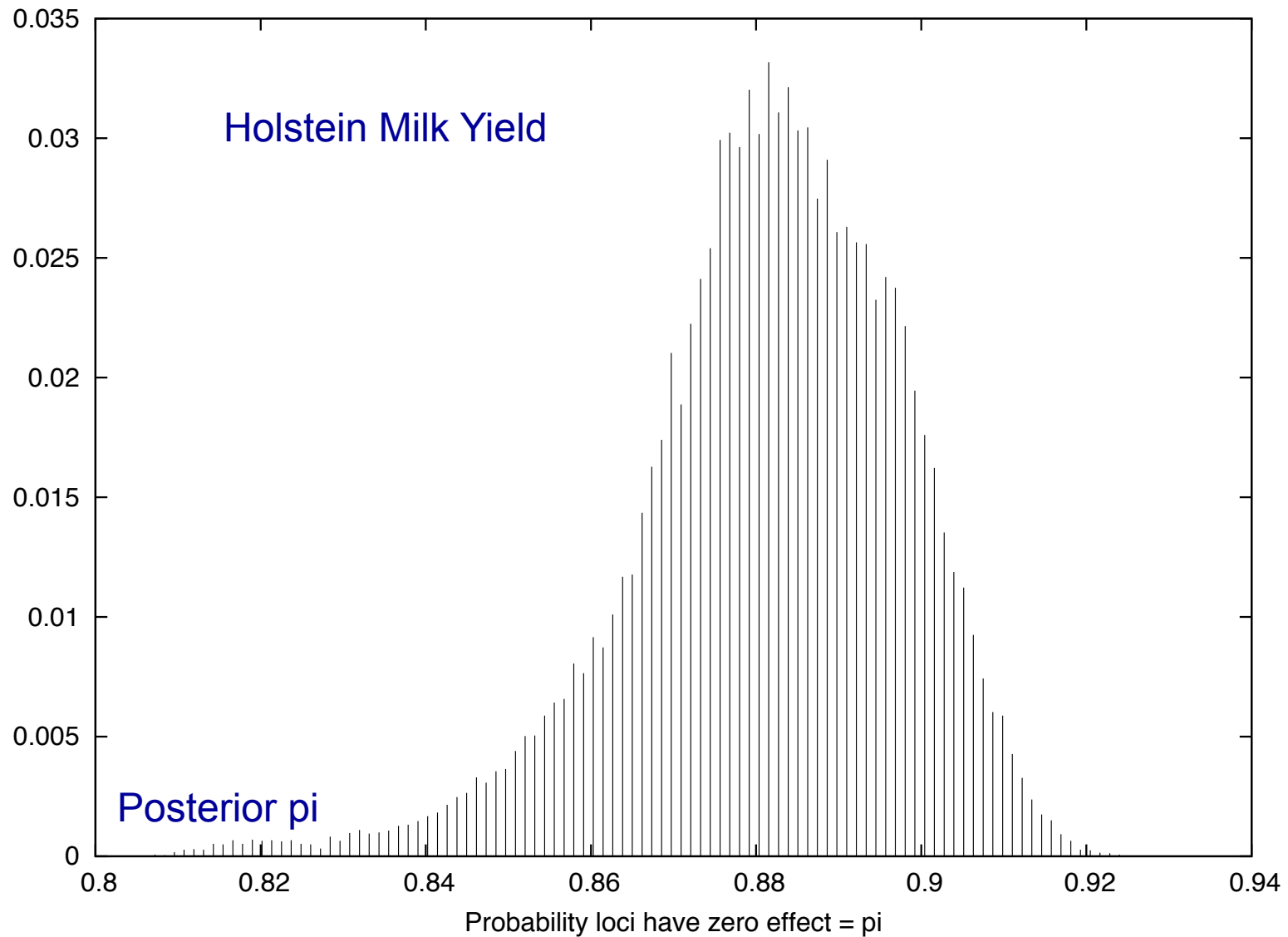




# “Best” 100 markers



# Bayes C pi on 8,300 bulls



# Summary

- The mixture fraction ( $\pi$ ) is an important parameter in determining the relative performance of alternative methods for genomic selection
- The mixture fraction can be concurrently estimated from the data, more easily in Bayes C than in Bayes A

# *Genomic Selection* Scale Factor Estimation

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# Bayes A

**Prior**  $(a_i / \sigma_i^2) \sim N(0, \sigma_i^2)$

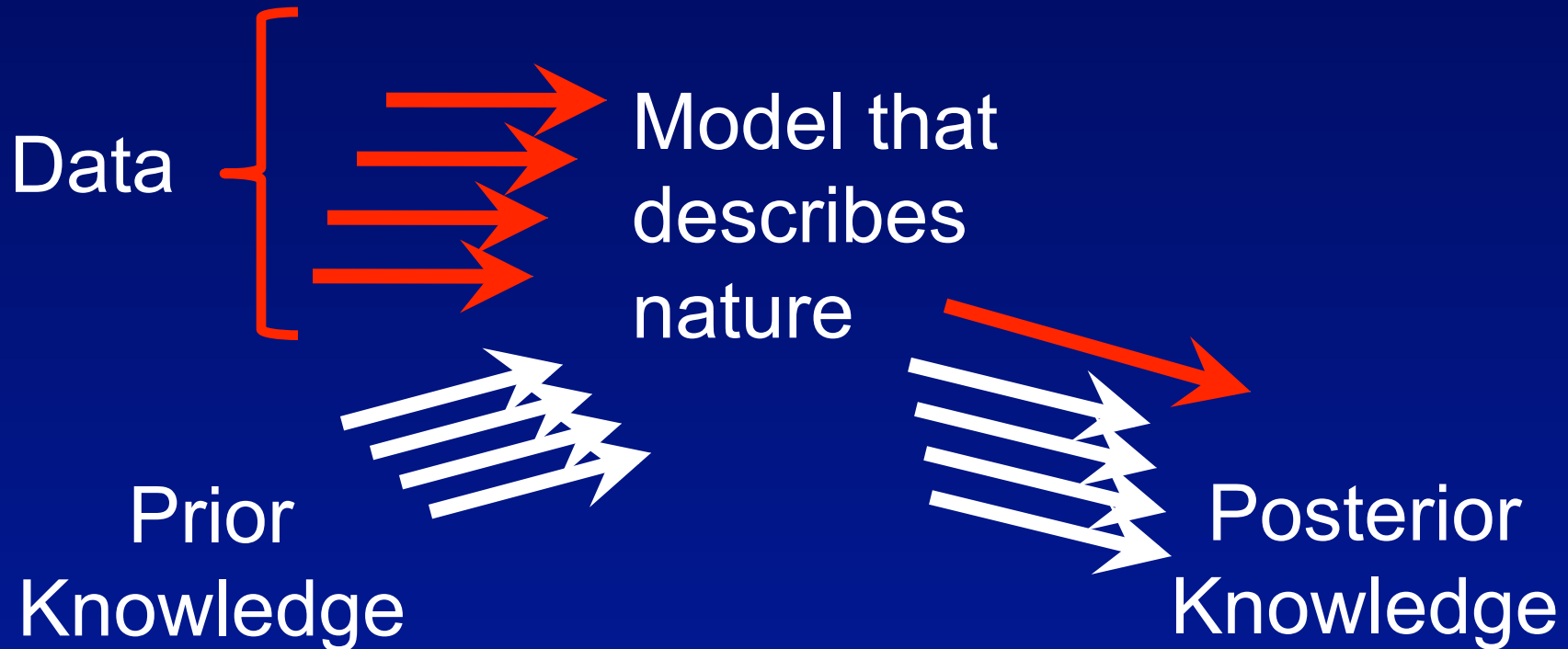
$$\sigma_i^2 \sim v_a S_{v_a}^2 \chi_{v_a}^{-2} \quad \text{Meuwissen, Hayes \& Goddard (2001)}$$

*so that*  $a_i \sim (\text{iid}) t(0, S_{v_a}^2, v_a)$  Sorensen & Gianola, 2002

*Assume* 
$$\sigma_i^2 = \frac{V_a}{\sum_i 2p_i(1-p_i)} = \frac{V_a}{k2\bar{p}(1-\bar{p})}$$

*so* 
$$S_{v_a}^2 = \frac{(v_a - 2)V_a}{v_a k2\bar{p}(1-\bar{p})} \text{ for } k \text{ SNP}$$

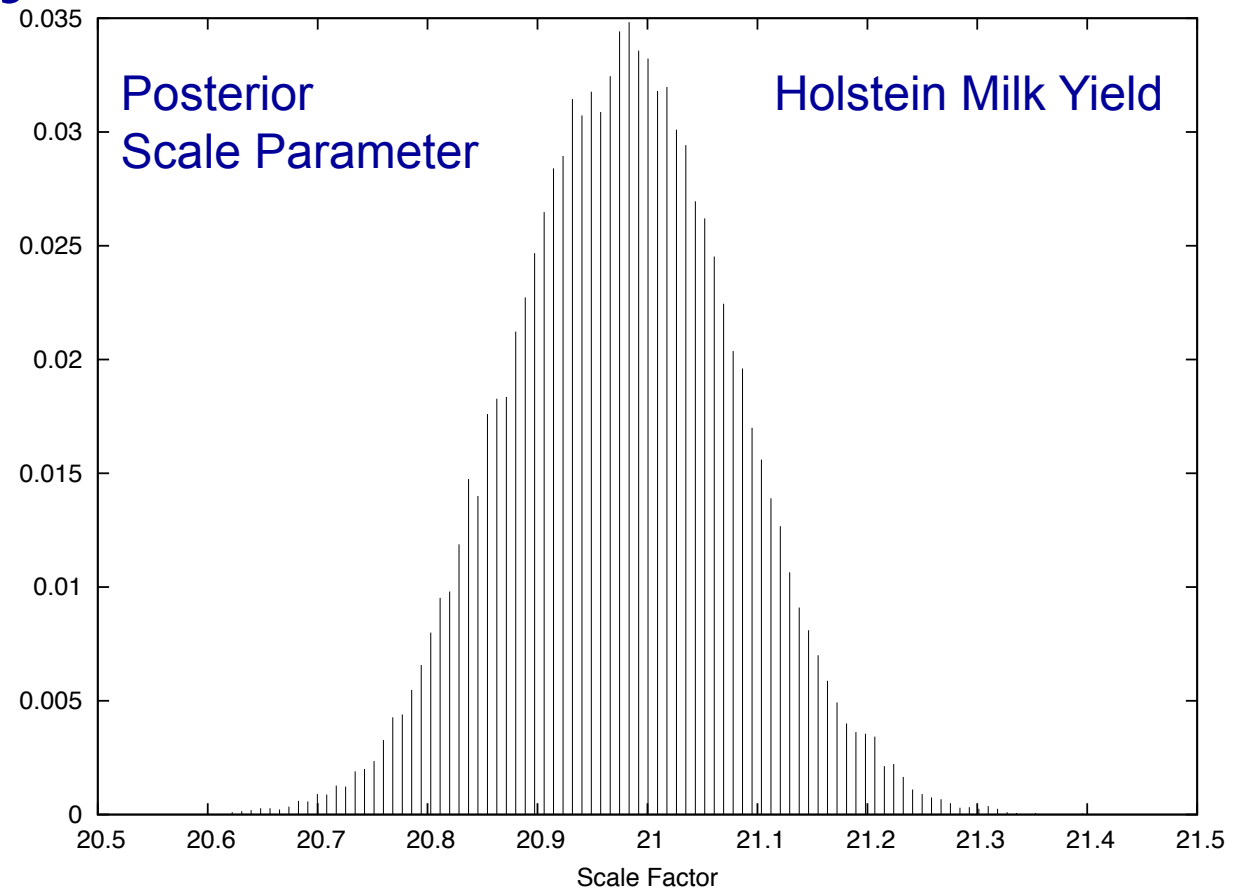
# BayesA/B not Bayesian Methods



Gianola et al "Bayesian Alphabet" 2009

But they work very well in practice!

# Bayes A on 8,300 bulls

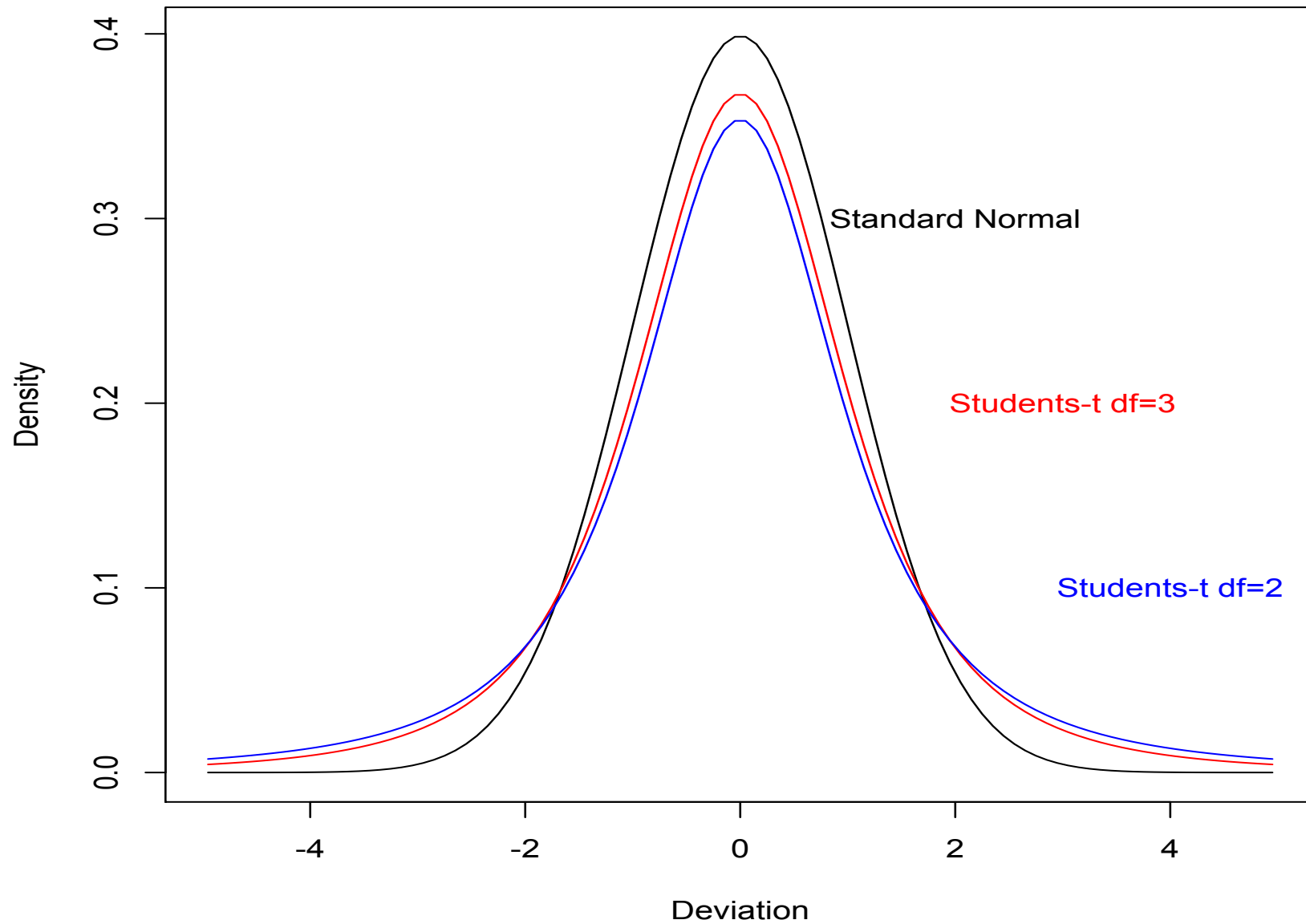


$$S_{v_a}^2 = \frac{(v_a - 2)V_a}{v_a k 2 \bar{p}(1 - \bar{p})} = \frac{(4 - 2) \times 646100}{4 \times 43043 \times 0.36} = 20.85$$

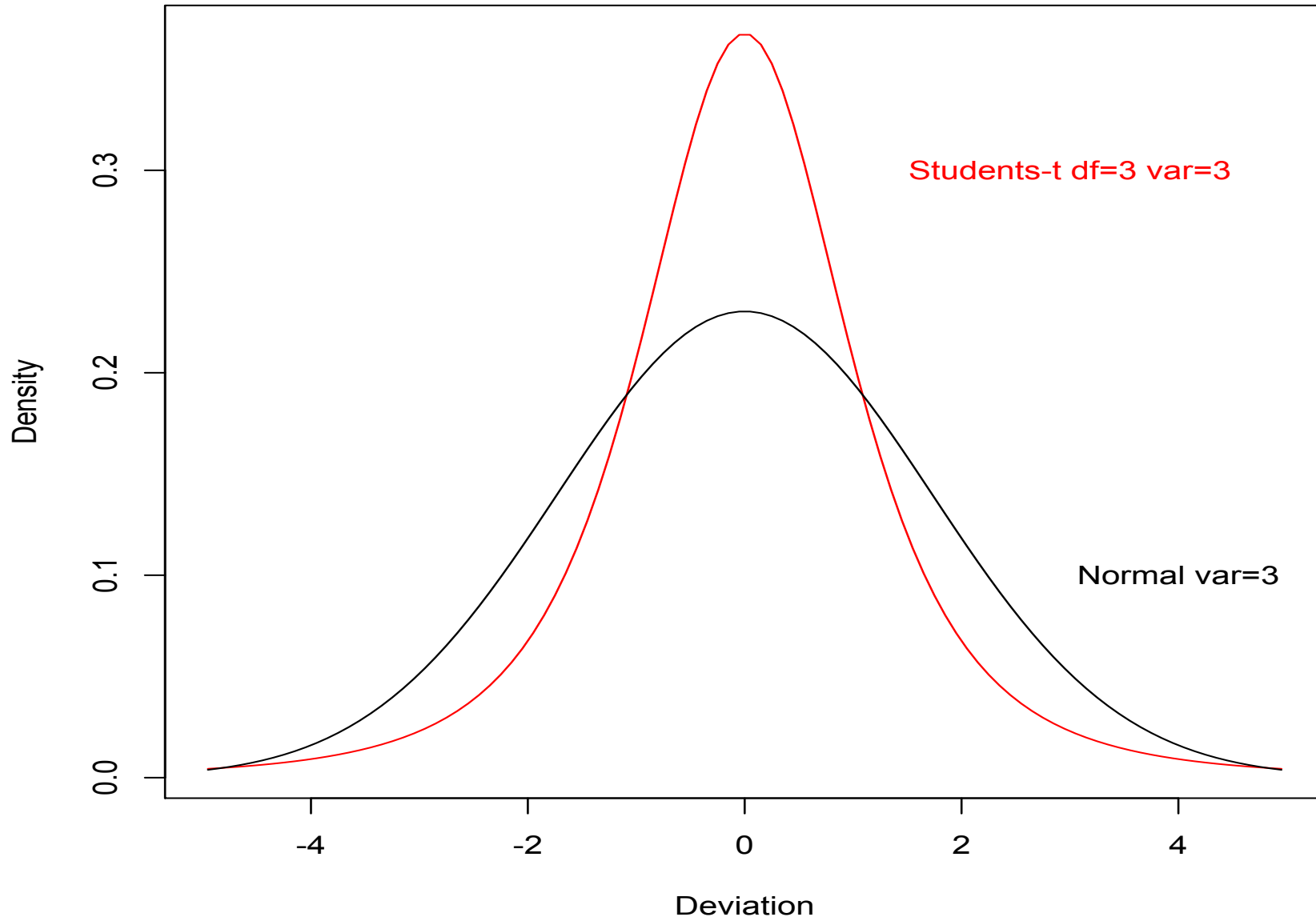
# Alternative Distributions (to the normal)



# Students- $t$ Distributions



# At Constant Variance



# Real SNPs - Simulated Traits

- Training Data
  - 2,869 Angus and Angus-cross (steers)
- Validation Data
  - 1,086 ISU Angus
  - 972 CMP half-sib groups representing 8 sire breeds (predominantly Angus)
- Random 50 or 500 SNPs were QTL
- Panels were the QTL, 50k+QTL, 50k-QTL

# Error Distributions

- The impact of normally distributed vs students-*t* distributed residual effects in the true and/or the fitted model
  - Simulated effects had 3 degrees of freedom
  - Fitted effects estimated degrees of freedom simultaneously with all other relevant parameters

# 50 QTL

True = Markers Normal Residuals Normal  
 Fitted = Markers Normal Residuals Normal

50QTL	BayesC	Training-Y	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	0.725	0.991	0.988	0.991
50k+QTL	$\pi=0.999$	0.743	0.975	0.973	0.974
50k-QTL	$\pi=0.999$	0.661	0.763	0.649	0.591
50k-QTL	Cpi $\pi=0.996$	0.763	0.806	0.657	0.599

Fitted = Markers Normal Residuals *t*

50QTL	BayesC	df	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	91	0.991	0.988	0.991
50k+QTL	$\pi=0.999$	91	0.975	0.973	0.974
50k-QTL	$\pi=0.999$	80	0.764	0.650	0.590
50k-QTL	Cpi $\pi=0.996$	59	0.807	0.658	0.598

# 500 QTL

True = Markers Normal Residuals Normal  
 Fitted = Markers Normal Residuals Normal

500QTL	BayesC	Training-Y	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	0.776	0.932	0.910	0.910
50k+QTL	$\pi=0.99$	0.878	0.821	0.619	0.620
50k-QTL	$\pi=0.99$	0.853	0.760	0.370	0.318
50k-QTL	Cpi $\pi=0.701$	0.915	0.773	0.358	0.301

Fitted = Markers Normal **Residuals  $t$**

500QTL	BayesC	df	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	78	0.932	0.910	0.910
50k+QTL	$\pi=0.99$	57	0.821	0.619	0.620
50k-QTL	$\pi=0.99$	53	0.760	0.370	0.319
50k-QTL	Cpi $\pi=0.701$	51	0.771	0.352	0.285

# Conclusion (1)

- There is no real harm in fitting a model that assumes residuals follow a students- $t$  distribution with unknown df when the true model has normally distributed residuals

# 50 QTL

True = Markers Normal **Residuals  $t$**   
Fitted = Markers Normal Residuals Normal

50QTL	BayesC	Training-Y	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	0.552	0.977	0.977	0.973
50k+QTL	$\pi=0.999$	0.592	0.901	0.893	0.877
50k-QTL	$\pi=0.999$	0.551	0.664	0.529	0.472

Fitted = Markers Normal **Residuals  $t$**

50QTL	BayesC	df	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	3	0.989	0.988	0.987
50k+QTL	$\pi=0.999$	3	0.953	0.947	0.942
50k-QTL	$\pi=0.999$	3.6	0.724	0.599	0.531



# 500 QTL

True = Markers Normal **Residuals  $t$**   
 Fitted = Markers Normal Residuals Normal

500QTL	BayesC	Training-Y	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	0.613	0.848	0.800	0.800
50k+QTL	$\pi=0.99$	0.778	0.652	0.405	0.414
50k-QTL	$\pi=0.99$	0.763	0.608	0.270	0.247

Fitted = Markers Normal **Residuals  $t$**

500QTL	BayesC	df	Training-G	ISU	CMP
50SNP=QTL	$\pi=0.$	3	0.897	0.869	0.868
50k+QTL	$\pi=0.99$	3.1	0.723	0.501	0.480
50k-QTL	$\pi=0.99$	3.4	0.669	0.324	0.268

## Conclusion (2)

- If residuals follow a students- $t$  distribution with few degrees of freedom, there are modest benefits of fitting models that estimates the degrees of freedom from the data

# Marker Effects Distributions

- The impact of normally distributed vs students- $t$  distributed marker effects in the true and/or the fitted model
  - Simulated effects had 3 degrees of freedom
  - Fitted effects estimated degrees of freedom simultaneously with all other relevant parameters

# 50 QTL

True = Markers Normal Residuals Normal

Fitted = Markers Normal Residuals Normal

50QTL	50k-QTL	Training-Y	Training-G	ISU	CMP
Bayes B	$\pi=0.999$	0.656	0.761	0.648	0.589
Bayes C	$\pi=0.$	0.905	0.765	0.345	0.300

Fitted = **Markers *t*** Residuals Normal

50QTL	50k-QTL	df	Training-G	ISU	CMP
Bayes C	$\pi=0.999$	31	0.770	0.646	0.580
Bayes C	$\pi=0.$	2	0.822	0.663	0.593

# 500 QTL

True = Markers Normal Residuals Normal

Fitted = Markers Normal Residuals Normal

500QTL	50k-QTL	Training-Y	Training-G	ISU	CMP
Bayes B	$\pi=0.99$	0.836	0.753	0.362	0.314
Bayes C	$\pi=0.$	0.916	0.770	0.348	0.281

Fitted = **Markers *t*** Residuals Normal

500QTL	50k-QTL	df	Training-G	ISU	CMP
Bayes C	$\pi=0.99$	48	0.762	0.370	0.319
Bayes C	$\pi=0.$	3.3	0.775	0.369	0.320

# Conclusion (3)

- Recall the usual approaches (Bayes B or C) suffer from incorrect values of  $\pi$ 
  - When  $\pi$  is correct, and effects are really normal, the estimated degrees of freedom are large and no harm is done to prediction accuracy
  - When  $\pi$  is too low, and effects are really normal, the estimated degrees of freedom are small, shrinking the effects of spurious markers and overcoming the erosion of accuracy from fitting too many markers

# 50 QTL

True = **Markers  $t$**  Residuals Normal

Fitted = Markers Normal Residuals Normal

50QTL	50k-QTL	Training-Y	Training-G	ISU	CMP
Bayes B	$\pi=0.999$	0.637	0.769	0.647	0.581
Bayes C	$\pi=0.$	0.891	0.732	0.319	0.274

Fitted = **Markers  $t$**  Residuals Normal

50QTL	50k-QTL	df	Training-G	ISU	CMP
Bayes C	$\pi=0.999$	19	0.767	0.646	0.587
Bayes C	$\pi=0.$	2.2	0.807	0.640	0.586

# 500 QTL

True = **Markers  $t$**  Residuals Normal

Fitted = Markers Normal Residuals Normal

500QTL	50k-QTL	Training-Y	Training-G	ISU	CMP
Bayes B	$\pi=0.99$	0.828	0.765	0.462	0.395
Bayes C	$\pi=0.$	0.907	0.754	0.298	0.247

Fitted = **Markers  $t$**  Residuals Normal

500QTL	50k-QTL	df	Training-G	ISU	CMP
Bayes C	$\pi=0.99$	8.7	0.779	0.476	0.404
Bayes C	$\pi=0.$	2.9	0.776	0.457	0.395

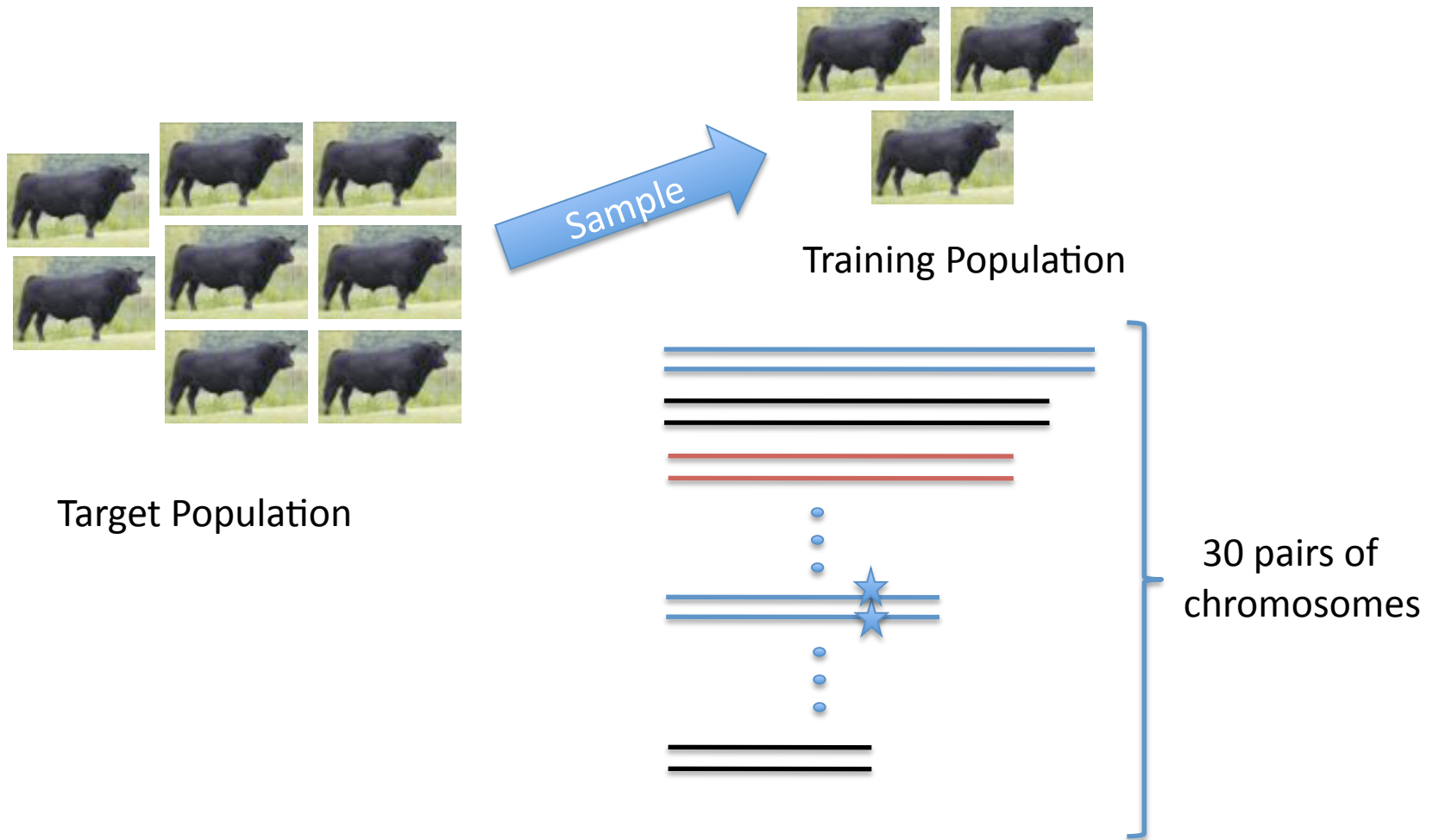


# Conclusions (4)

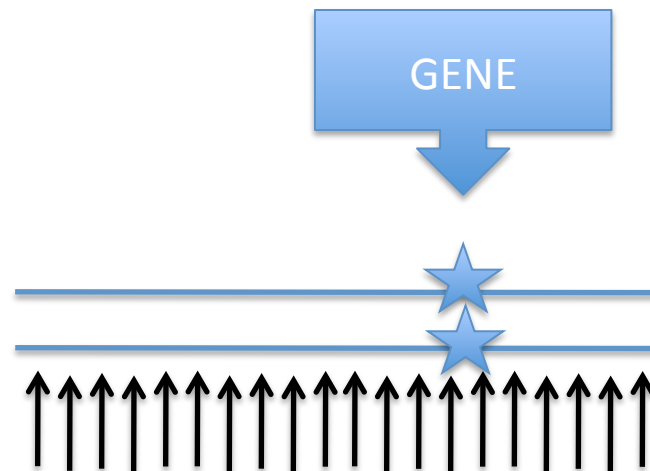
- When marker effects are distributed as students- $t$  with small degrees of freedom
  - there is little accuracy loss if appropriate  $\pi$  is used and effects are fitted as if normally distributed
  - When too many markers are in the model, that is  $\pi$  is too small, this has little impact on prediction if degrees of freedom are estimated from the data

Spurious Markers Effects  
Can Validate in Relatives

# Goal in Marker/Gene Discovery



# Goal in Marker/Gene Discovery

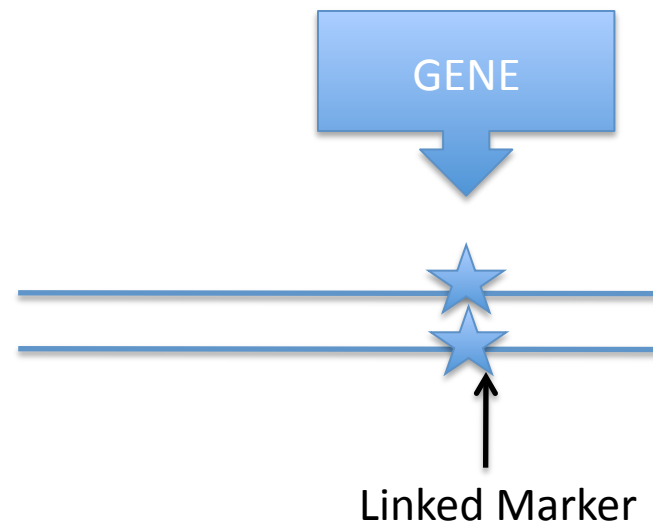


DNA markers (e.g. SNPs)  
>1,000 per chromosome

# Goal in Marker/Gene Discovery

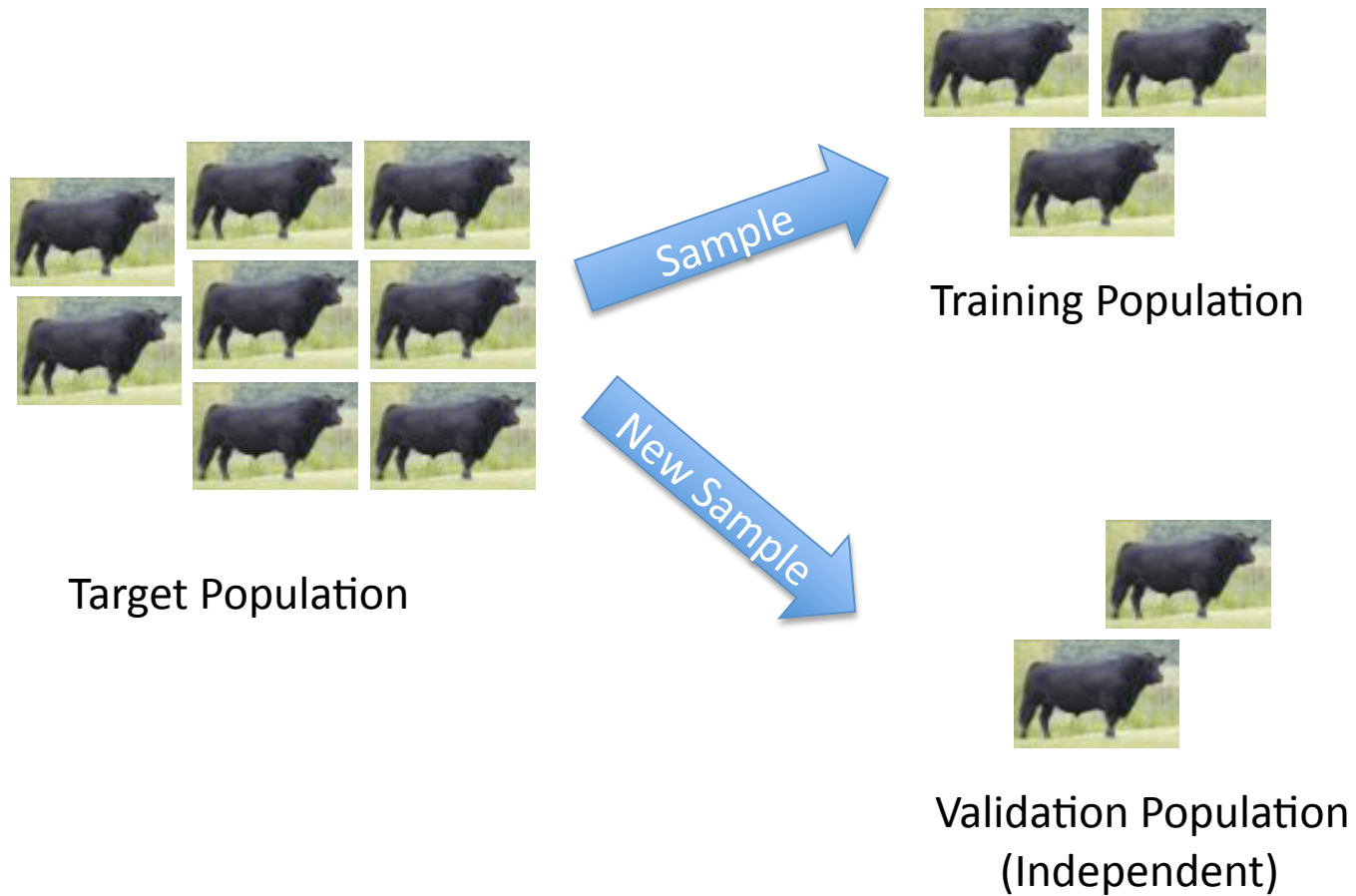


Research is looking for markers in tight linkage disequilibrium (LD) due to close physical proximity to causal mutations

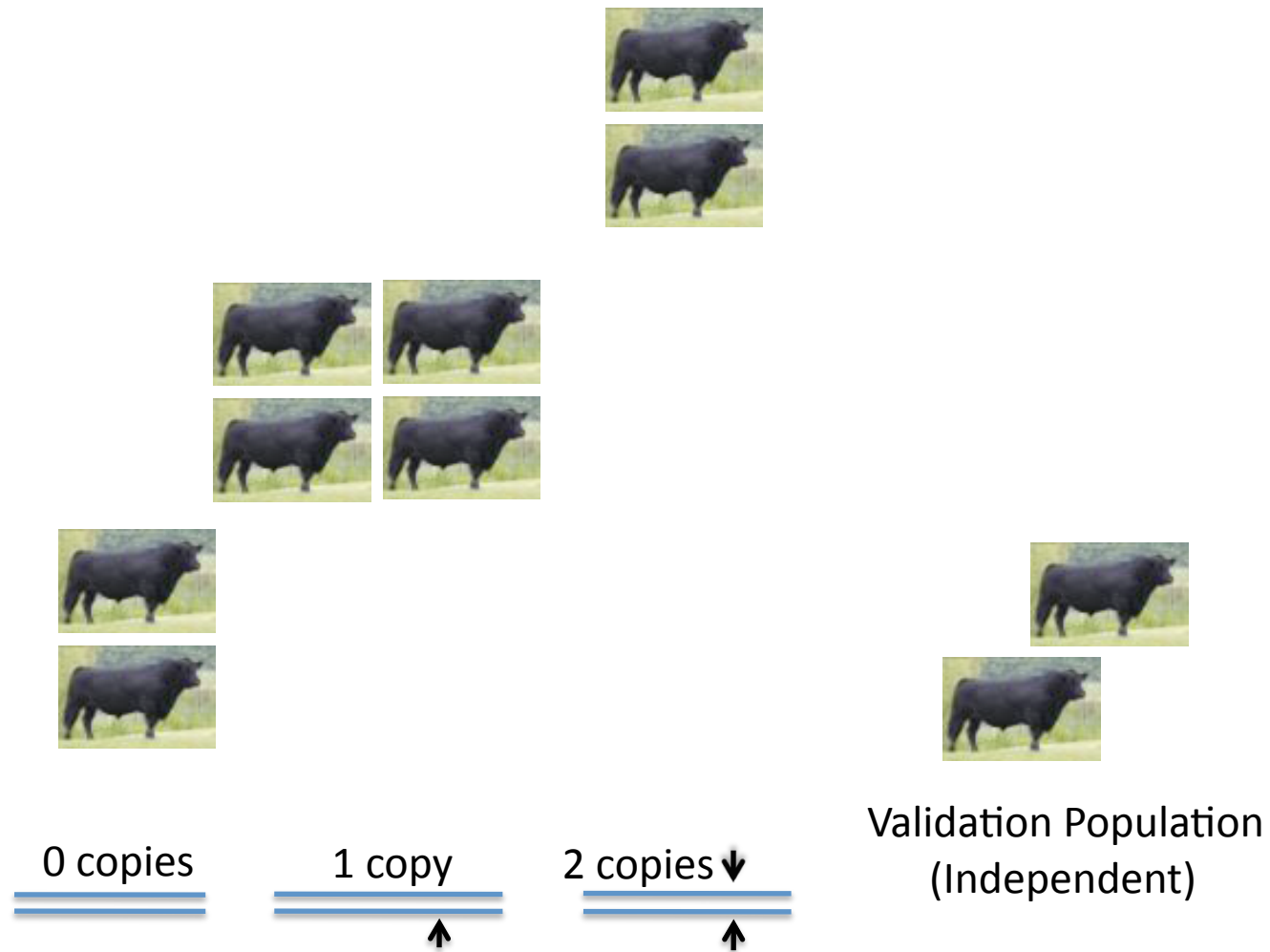


Inheritance of a marker allele is indicative of inheritance of favorable allele in gene

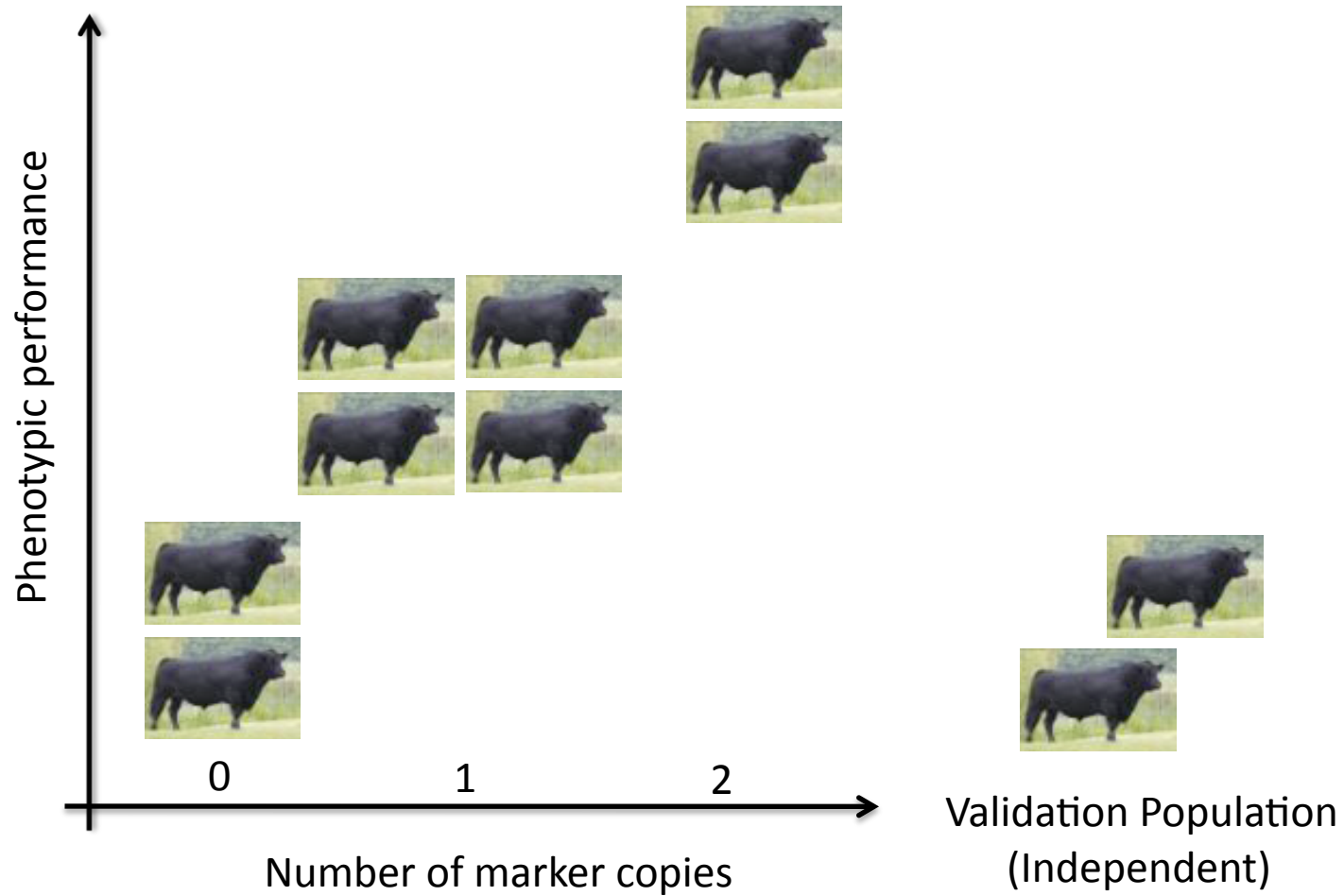
# Ideal Validation of Good Marker



# Ideal Validation of Good Marker

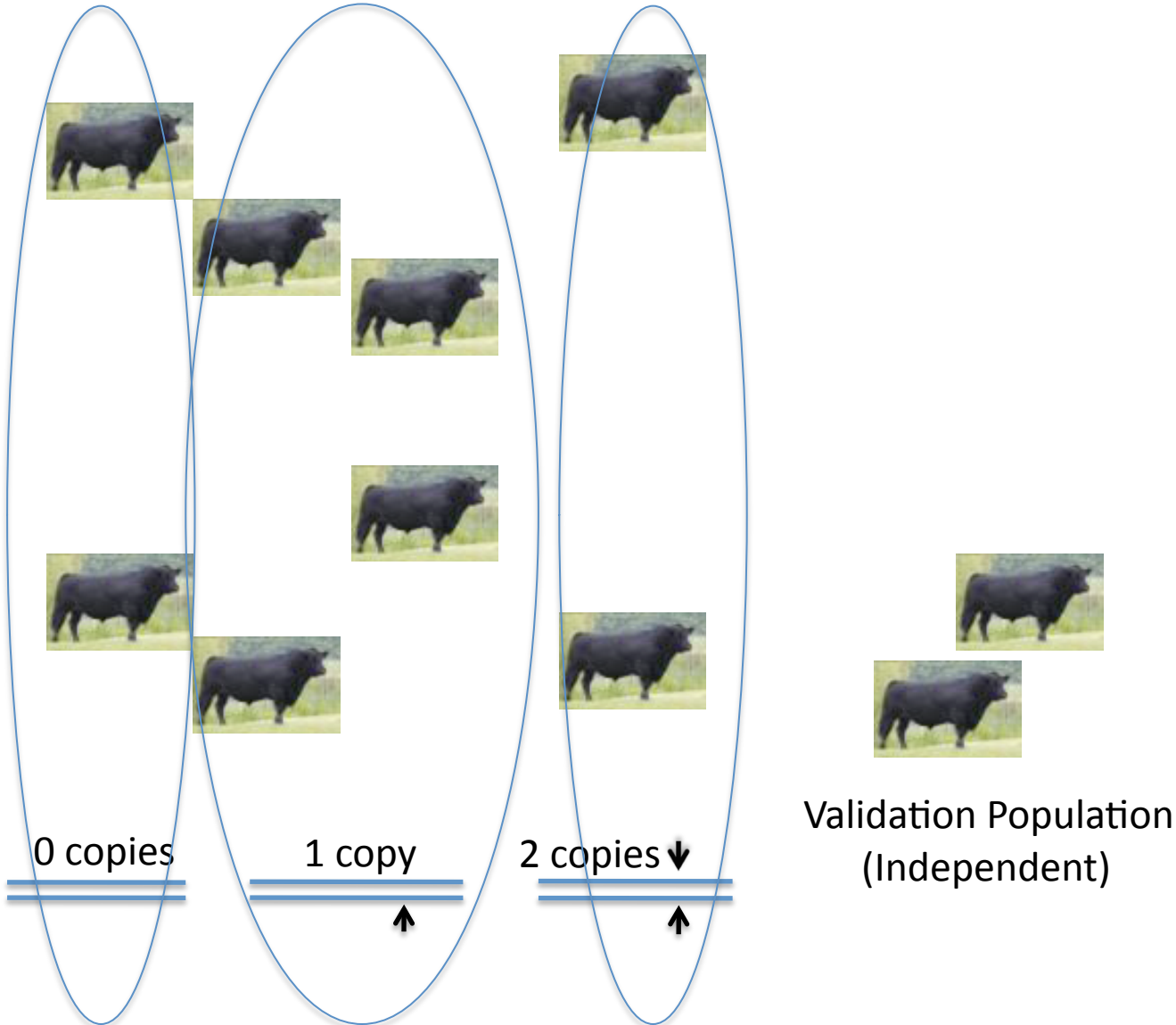


# Ideal Validation of Good Marker

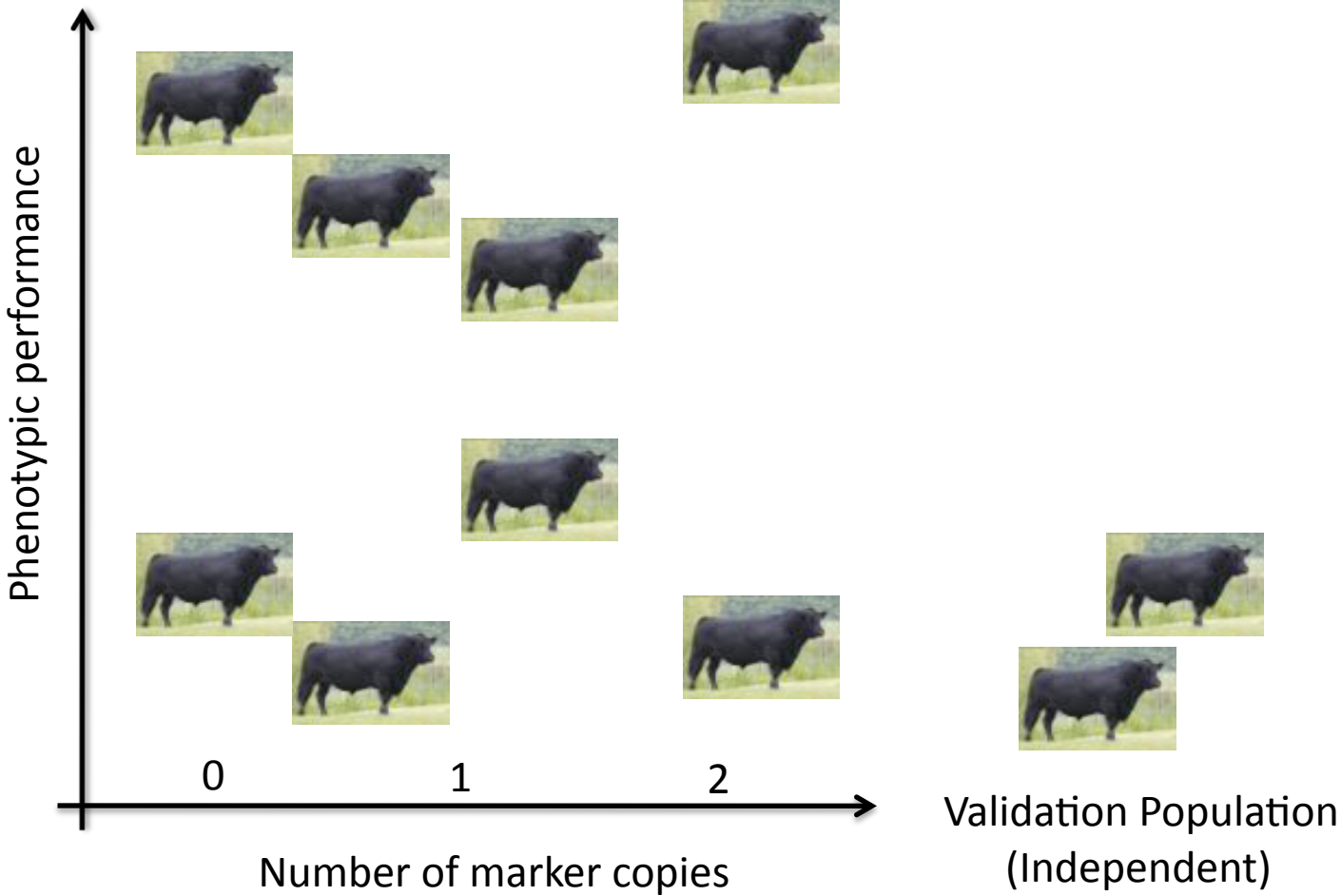




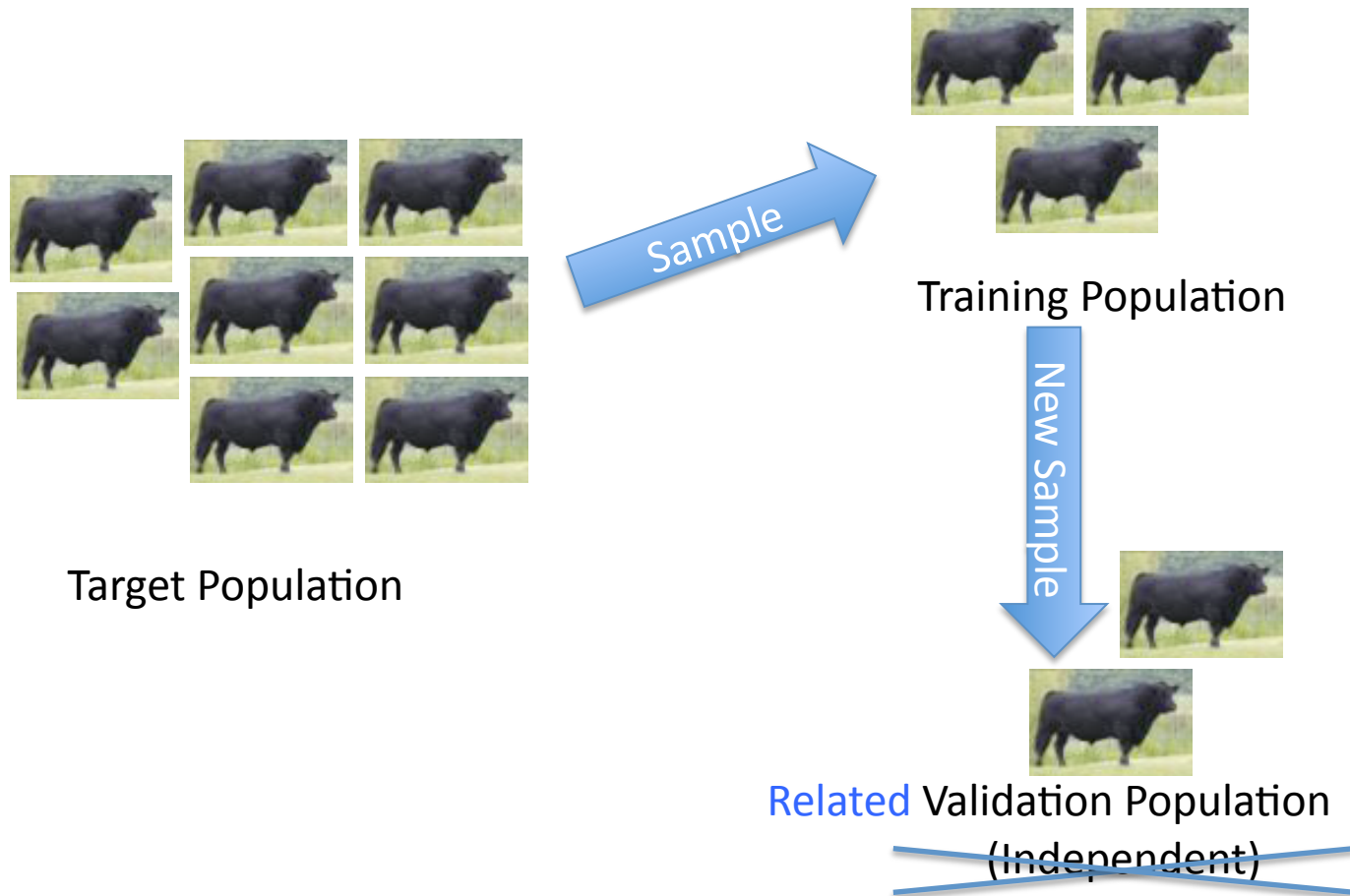
# Ideal Failed Validation of Bad Marker



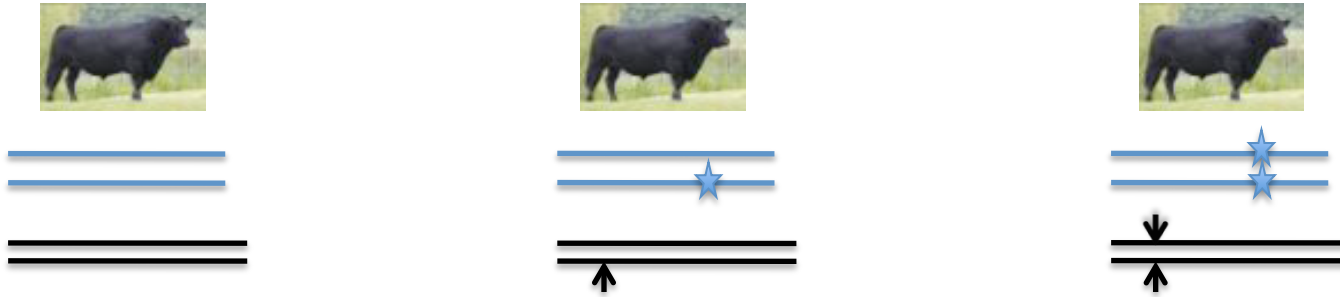
# Ideal Failed Validation of Bad Marker



# Validation in Practice

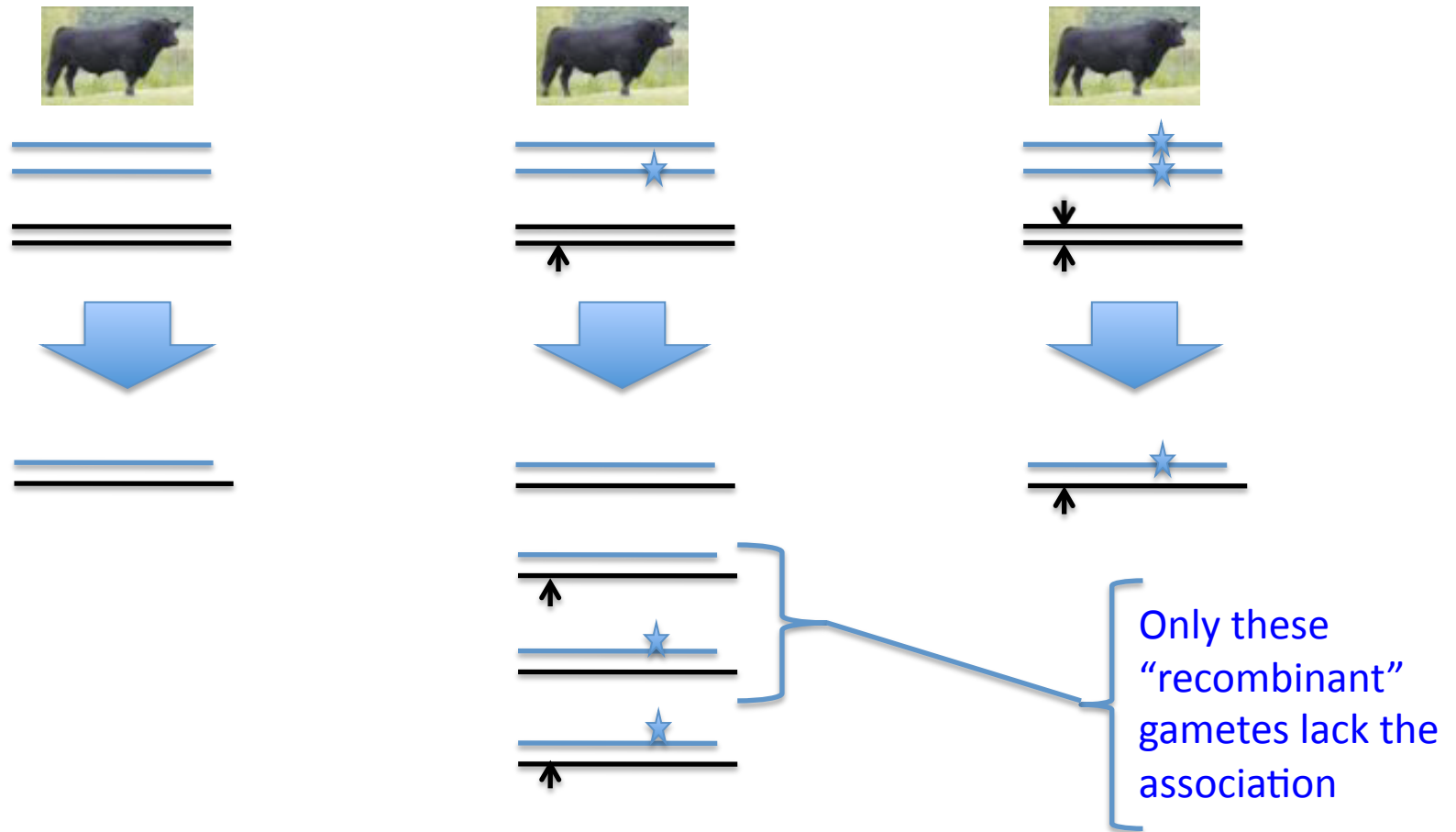


# Problems with Related Validation and Discovery Populations



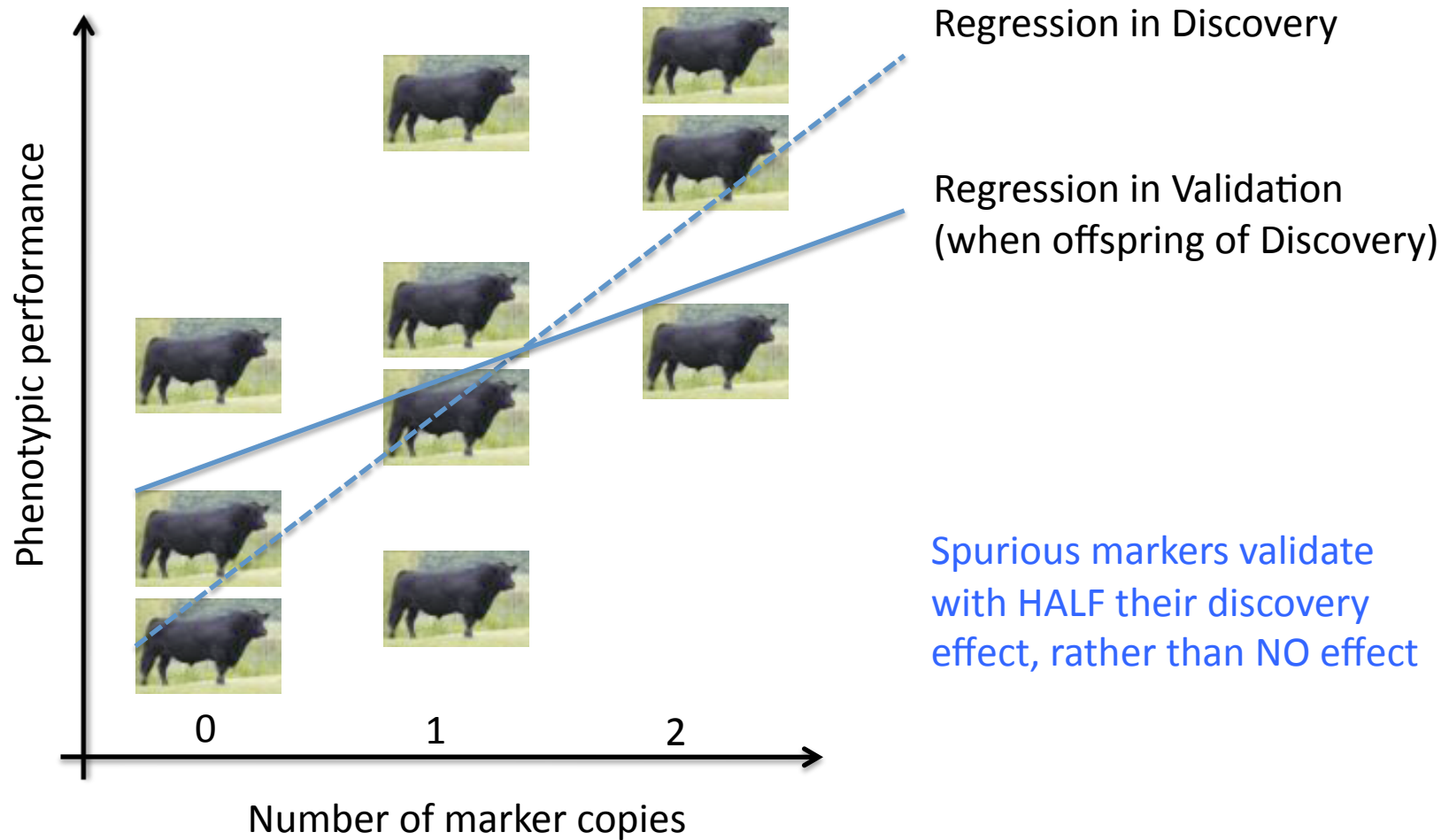
Totally spurious markers can be discovered in the training population especially when there are many more (e.g. 50k) markers to consider than there are training animals

# Problems with Related Validation and Discovery Populations



Gametes from a parent in the discovery population show a marker effect

# Problems Validating in Relatives



# Validating in Relatives

- The marker effect of
  - real associations will be retained
  - spurious associations will halve each generation if the marker and gene are not linked
- In general, the marker effect reduces by  $(1-r_{QM})$  each generation
- Marker panels that comprise a mixture of real and spurious results, validated in relatives, will gradually erode over time
  - Validation will overestimate their real value

# Practical Demonstration - Habier et al

amax is the maximum additive relationship between any bull in training and any bull in validation

Scenarios:

amax of 0.6, 0.49, 0.249 and 0.1249

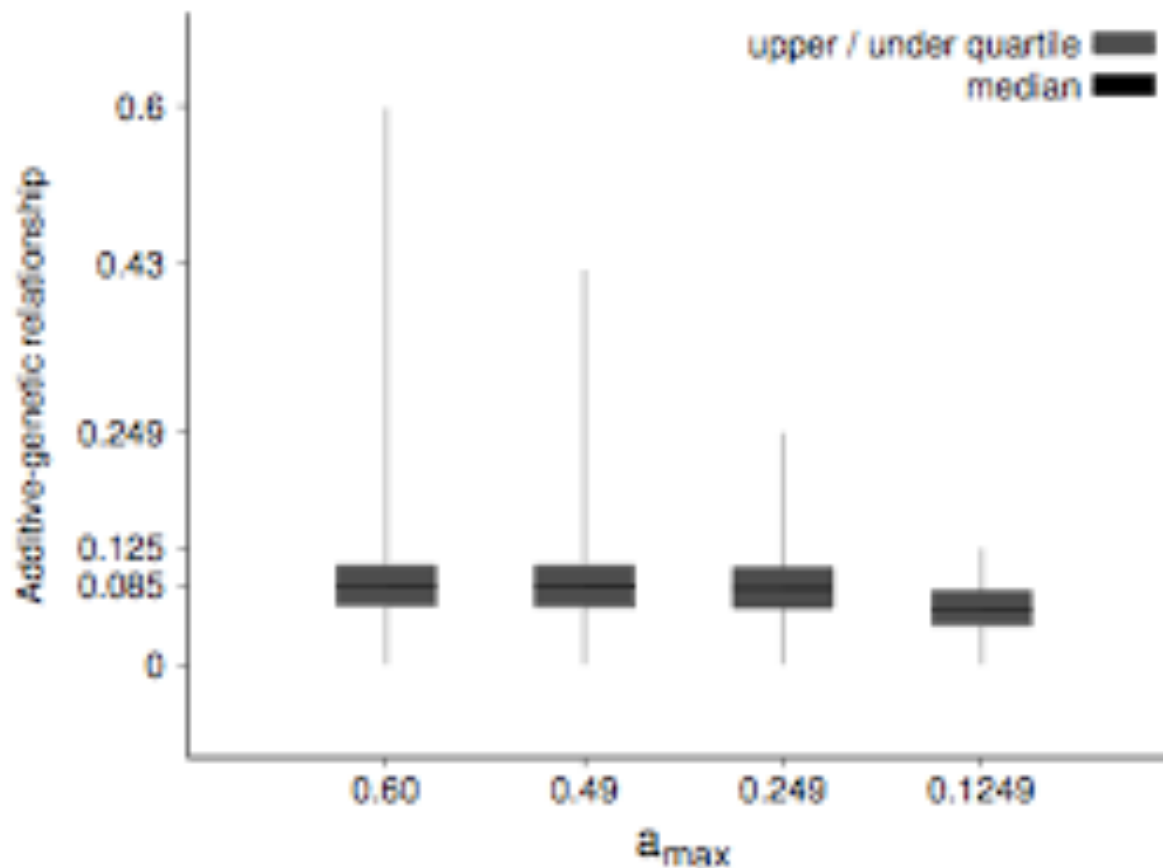
0.6: Fathers, full-and half sibs in training

0.49: Half sibs in training

<0.25: No half sibs

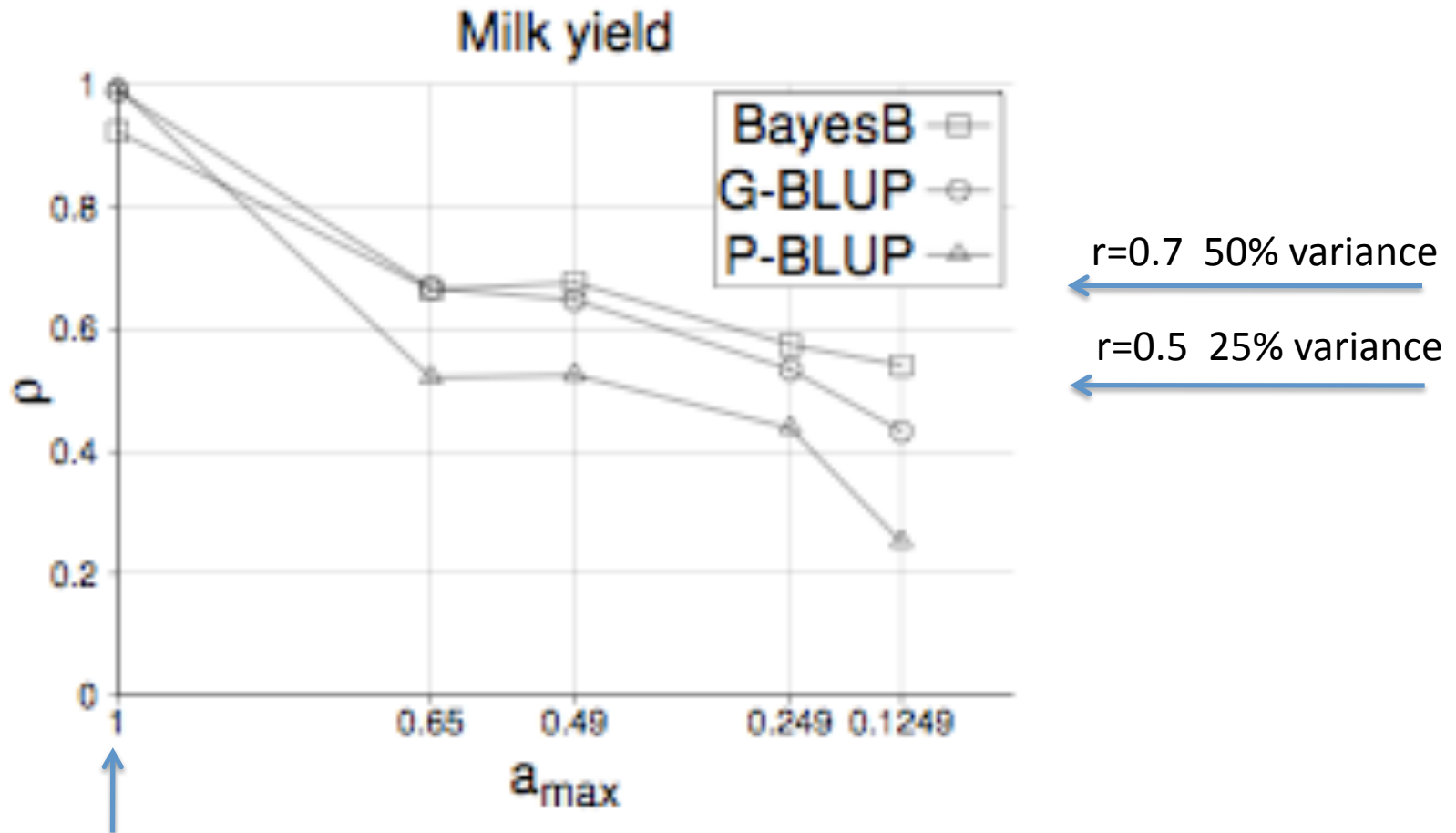


# Additive genetic relationships between training and validation subsets



These represent four different partitionings of the data into training & validation

# Accuracy of genomic EBVs vs $a_{max}$



$r$  in training data

2084 training bulls

# Conclusions

- Presence of parent-offspring links, or of half-sibs represented in both the training and validation data leads to genomic predictions that appear to account for 2x as much variance compared to using less related animals in validation
- Discovery populations that use all AI bulls in a breed will make it very difficult to form a reliable validation dataset
- Validation results will overstate the real value of genomic tests